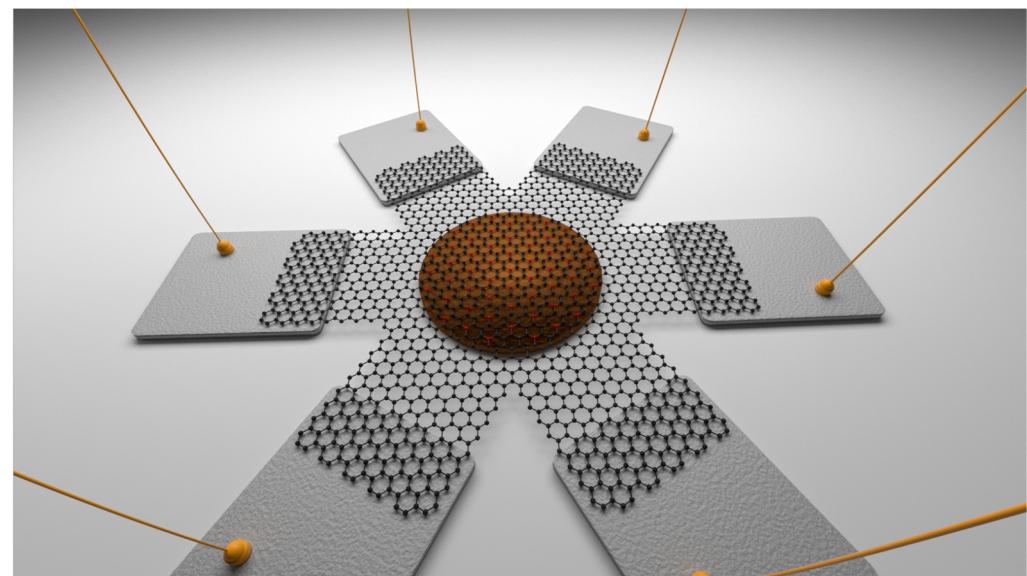
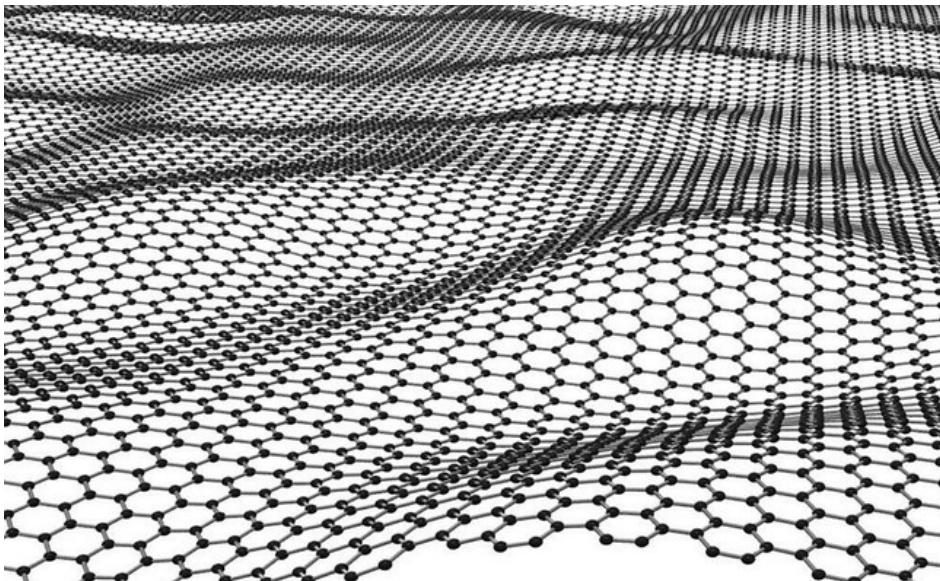


# Transporte electrónico en sistemas bidimensionales

José Eduardo Barrios Vargas

[j.e.barrios@gmail.com](mailto:j.e.barrios@gmail.com)

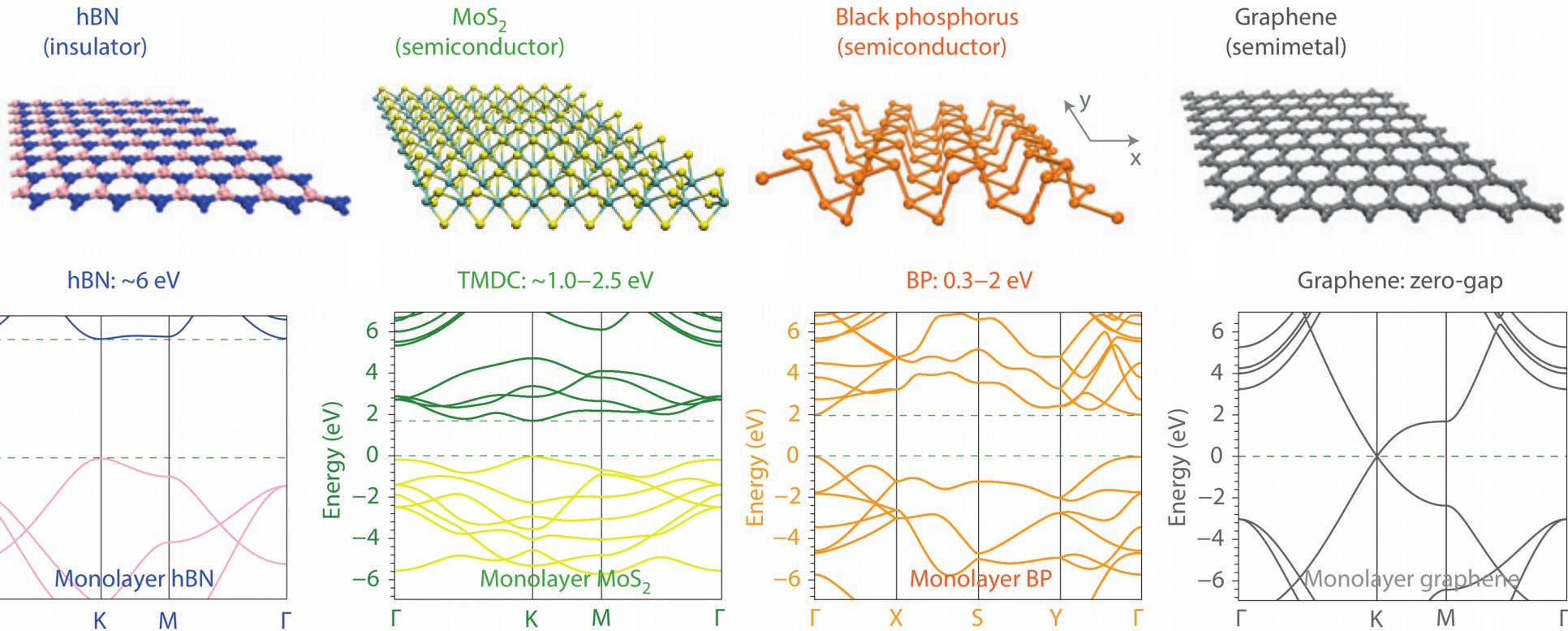
Depto. Física y Química Teórica, FQ-UNAM



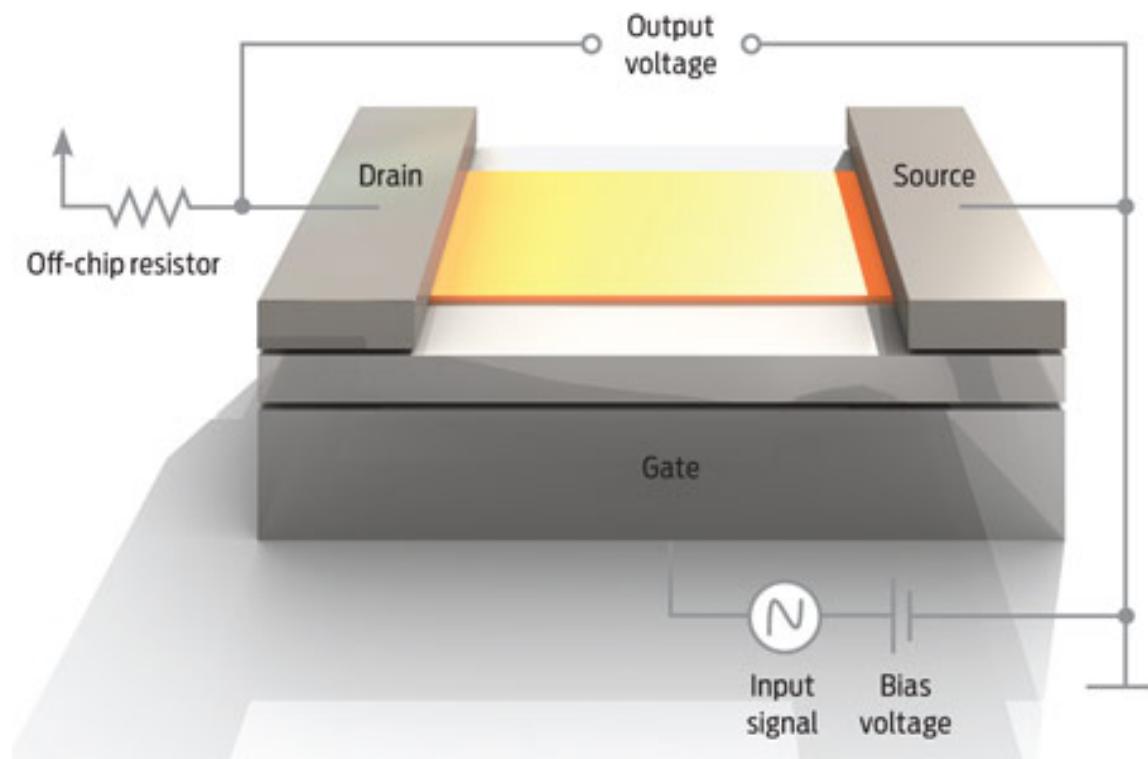
# Objetivos

- Estructura de bandas
- DOS
- Funciones de Green (KPM)
- Conductividad
- Conductancia

# Cristales en 2D

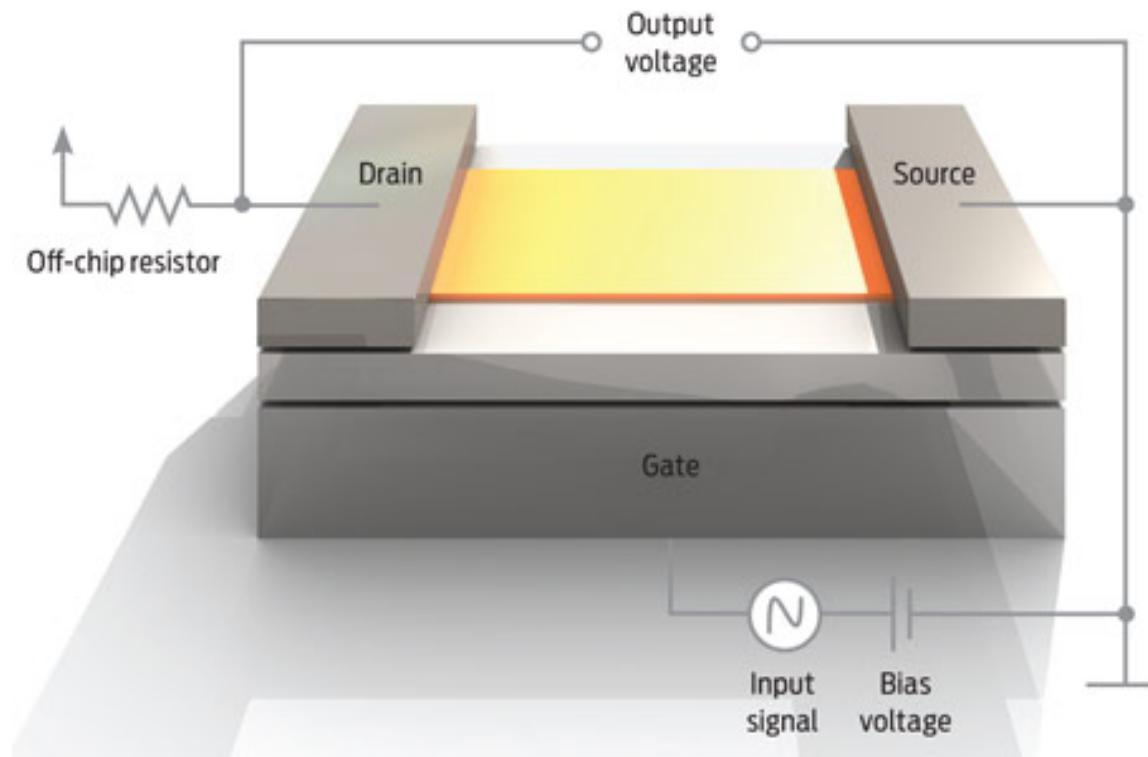


# Transistor (FET – 2D)



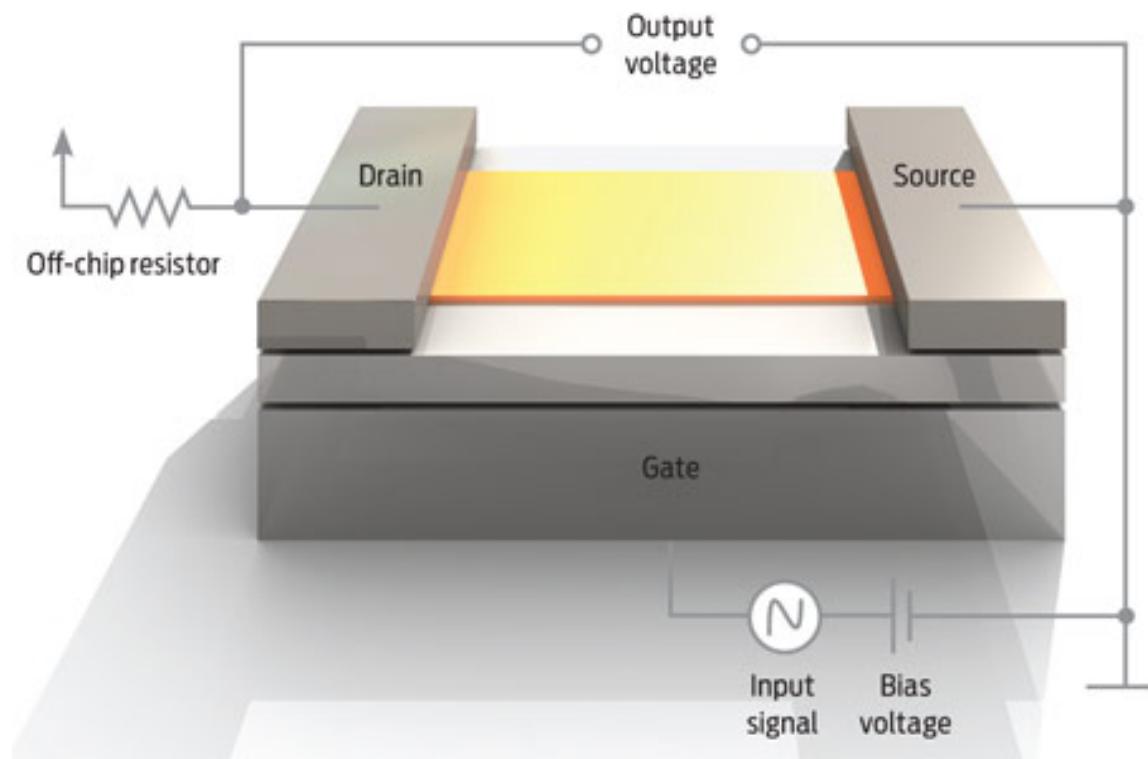
¿Por qué en 2D?

# Transistor (FET – 2D)



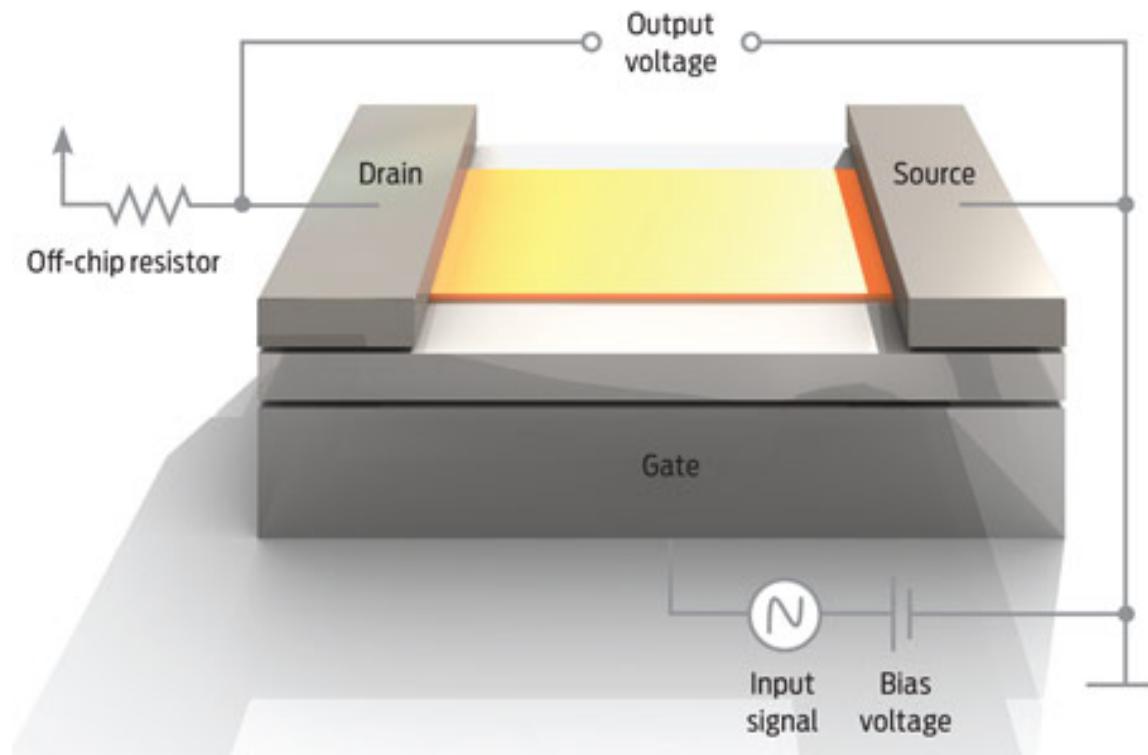
¿Por qué en 2D?  
Tamaño y calentamiento

# Transistor (FET – 2D)



Propiedades (de bulto) del canal

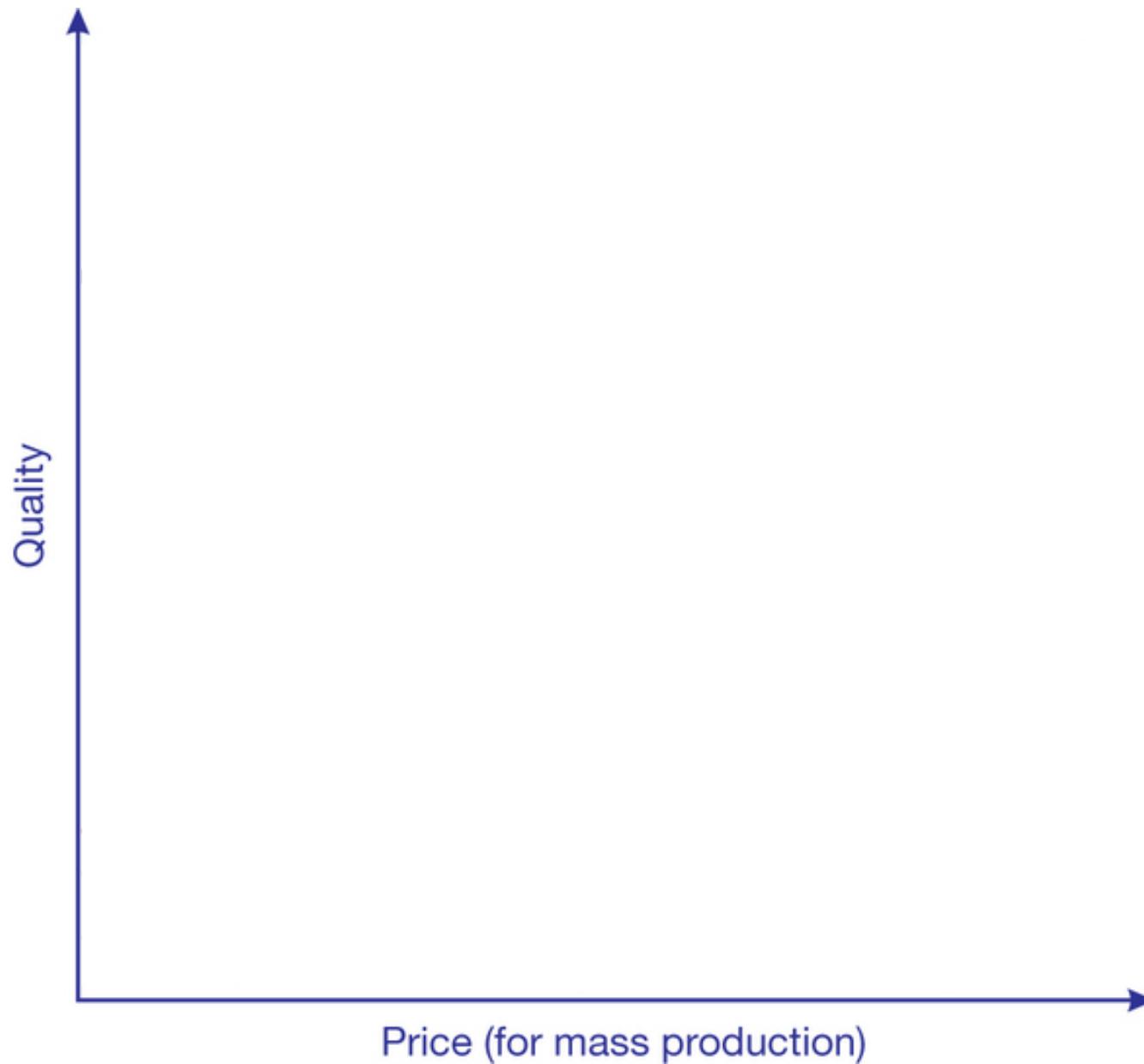
# Transistor (FET – 2D)



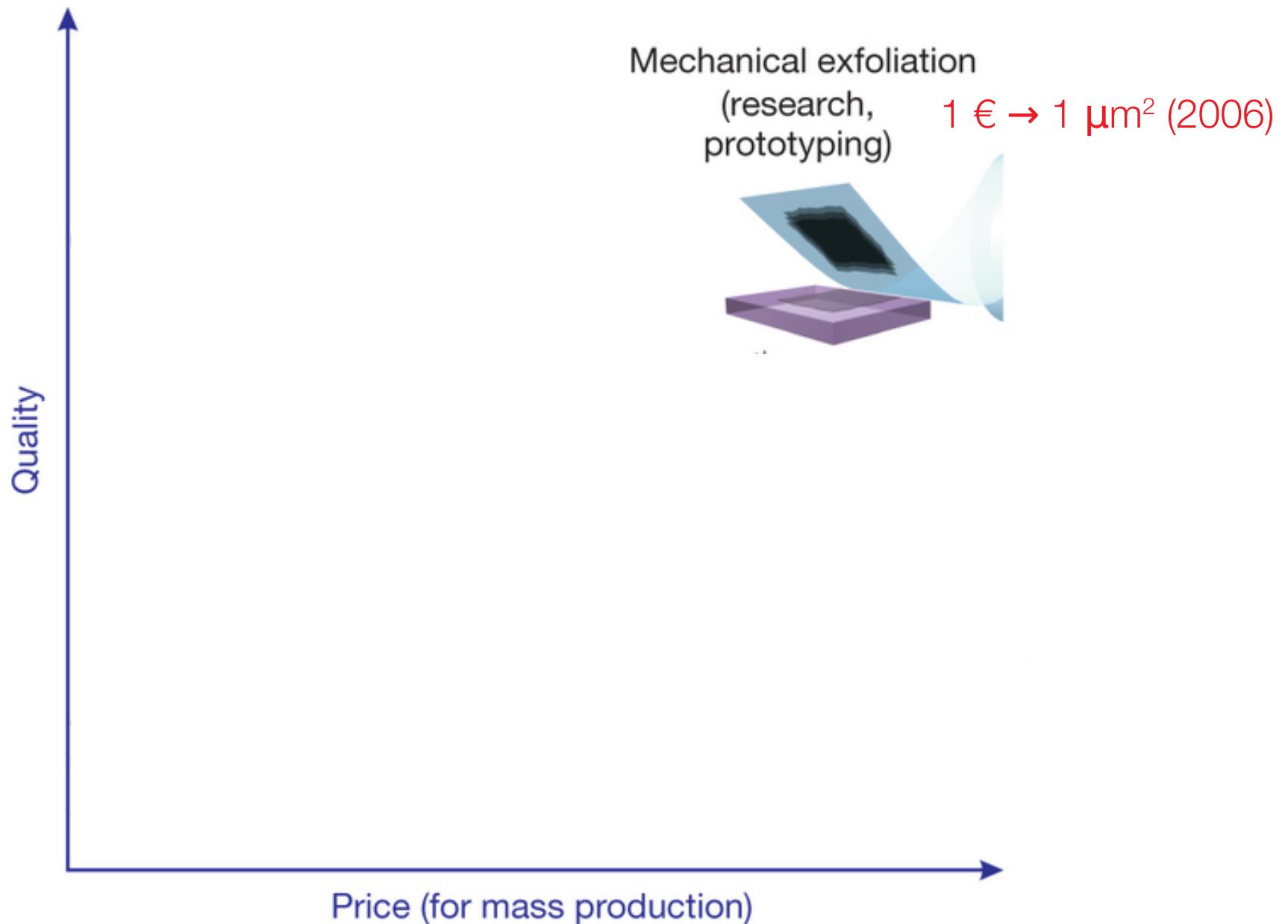
- Movilidad
- Conductividad de la hoja
- Densidad de portadores de carga

 $\mu$  $\sigma_s$  $n$

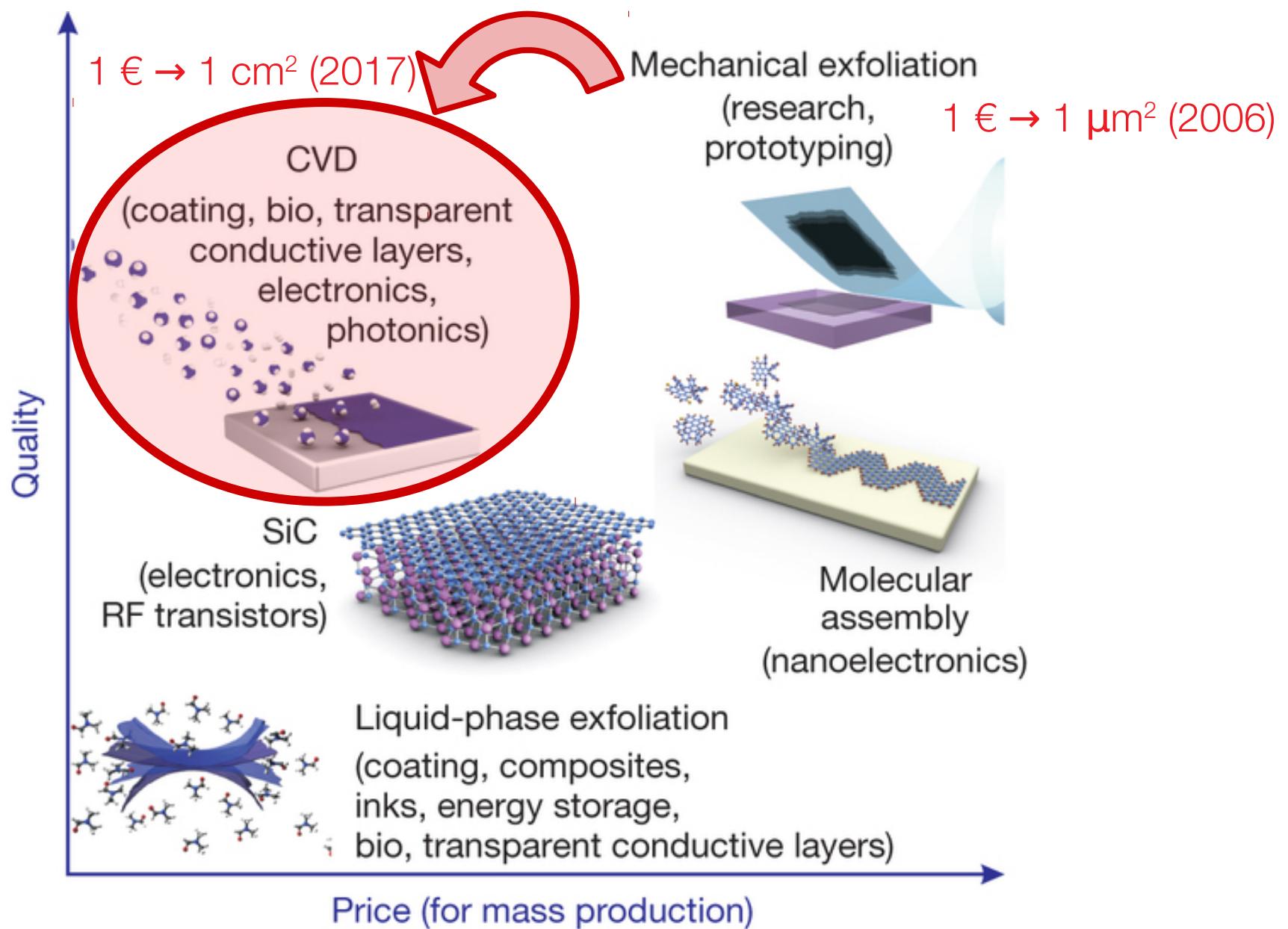
# Síntesis (grafeno)



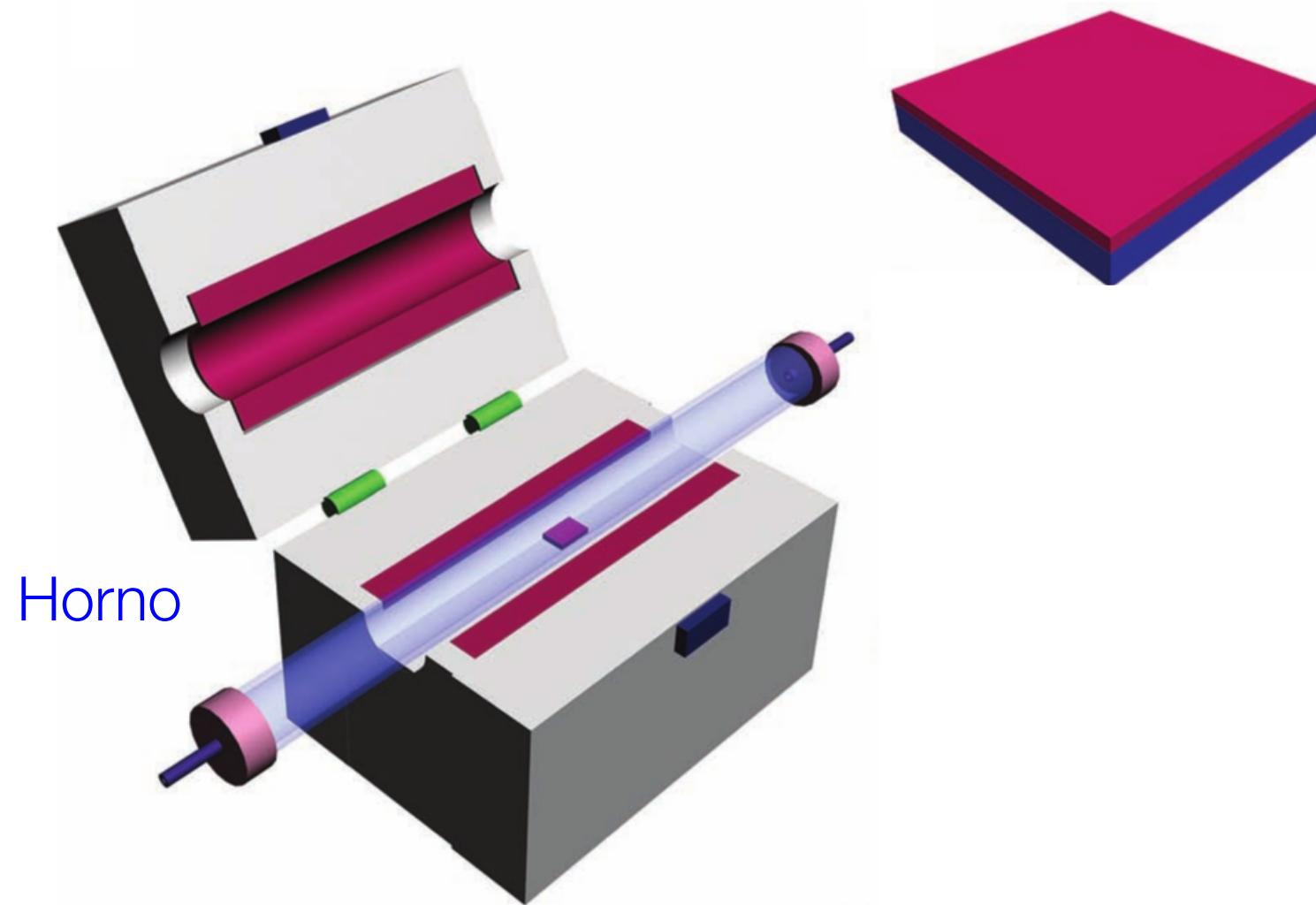
# Síntesis (grafeno)



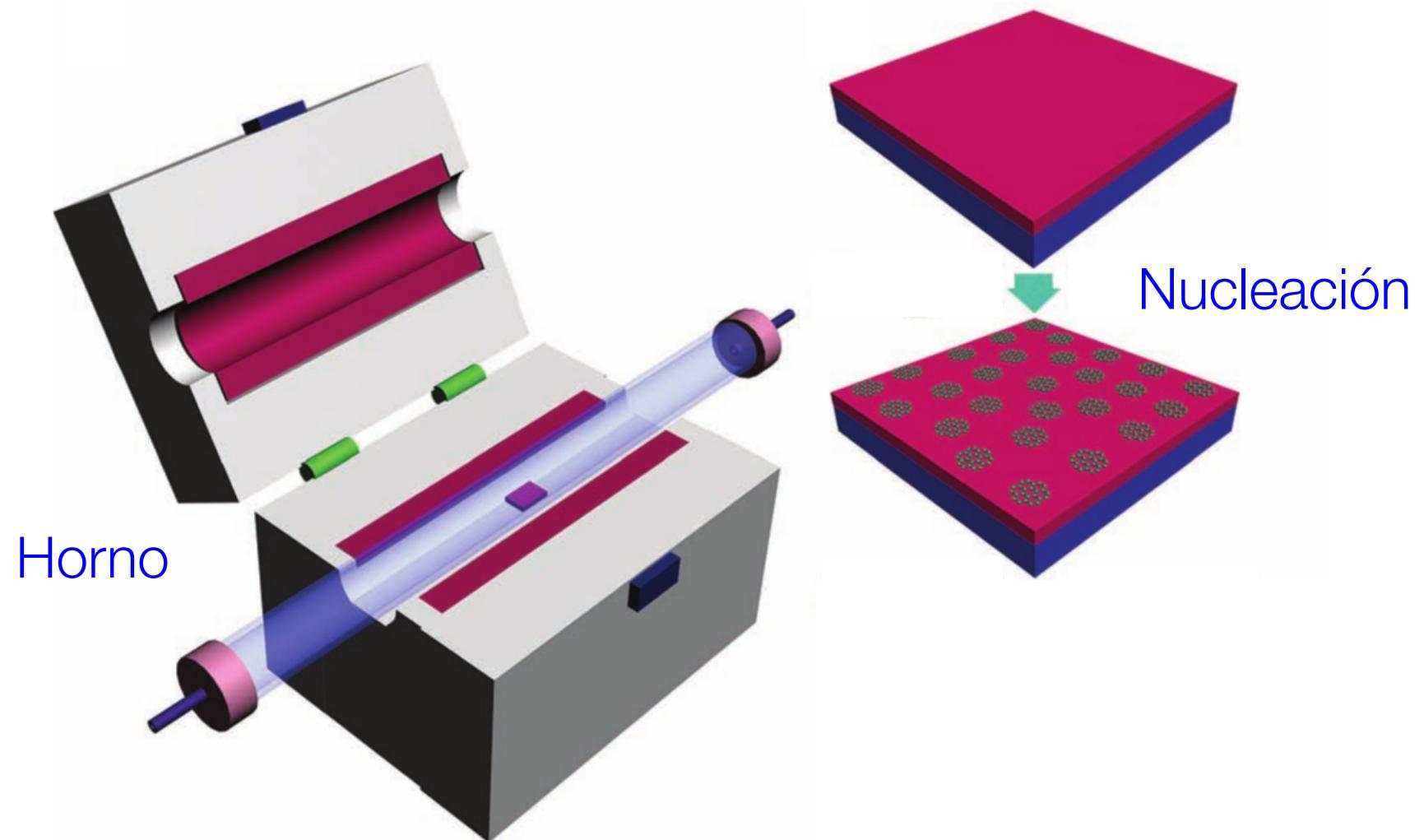
# Síntesis (grafeno)



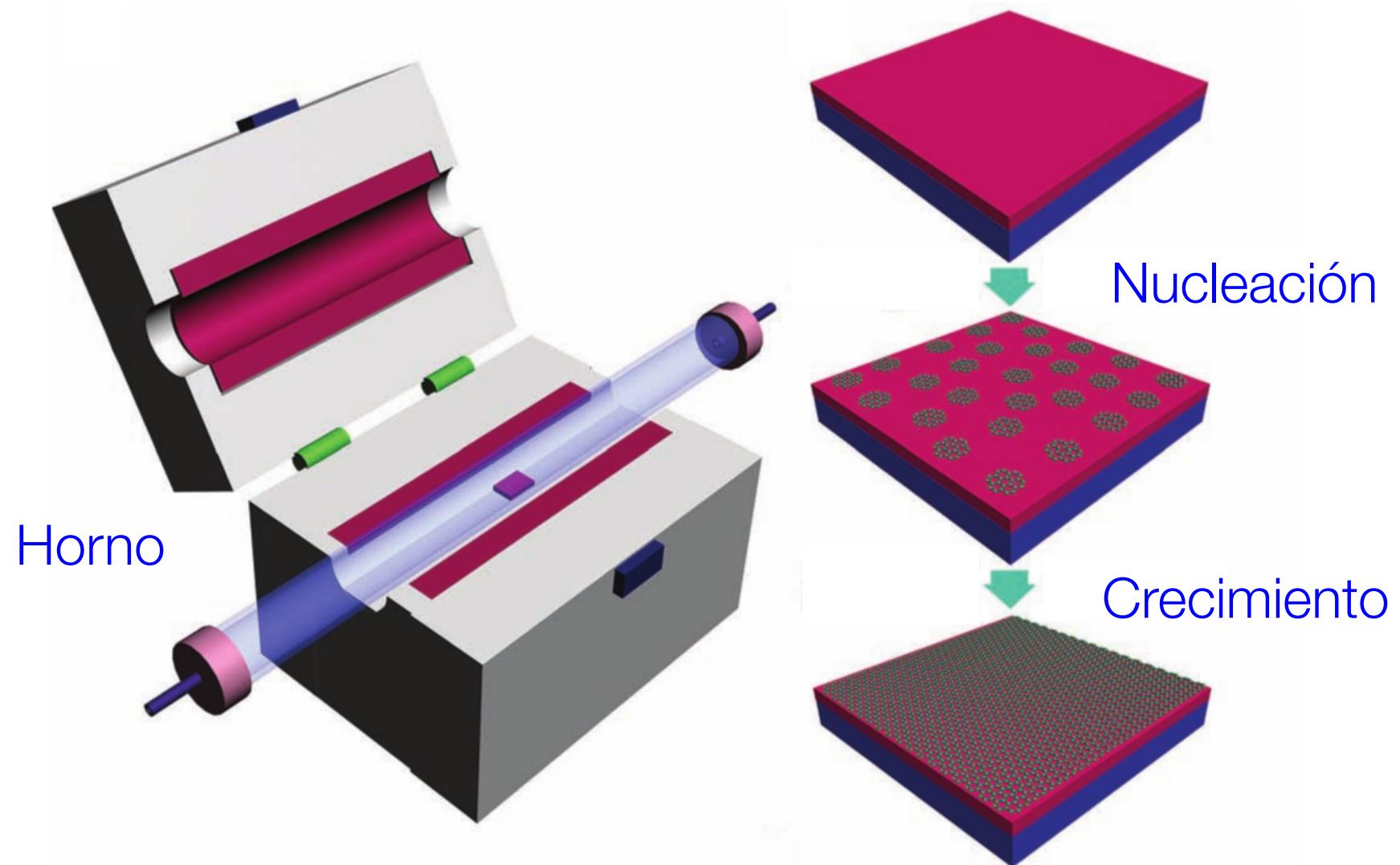
# CVD (deposición química de vapor)



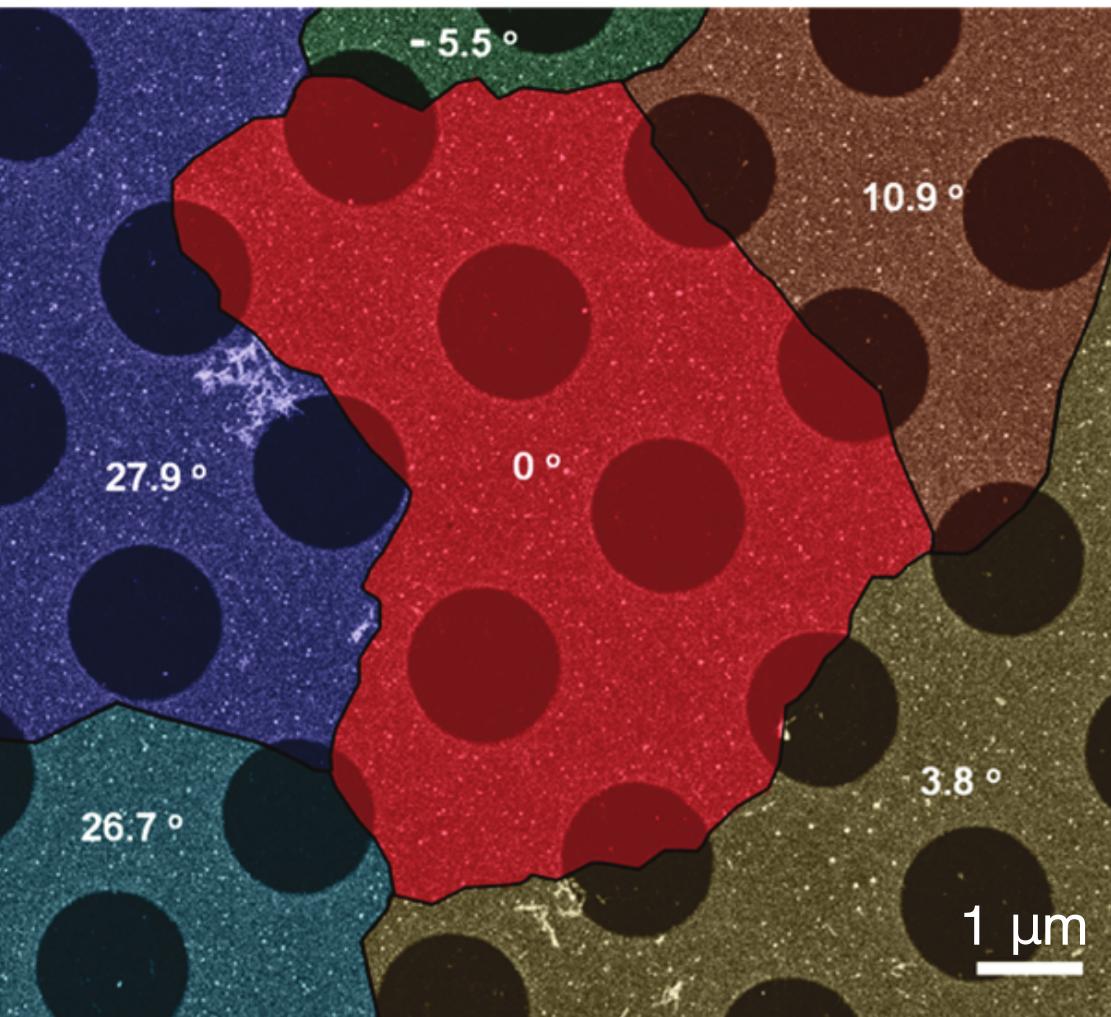
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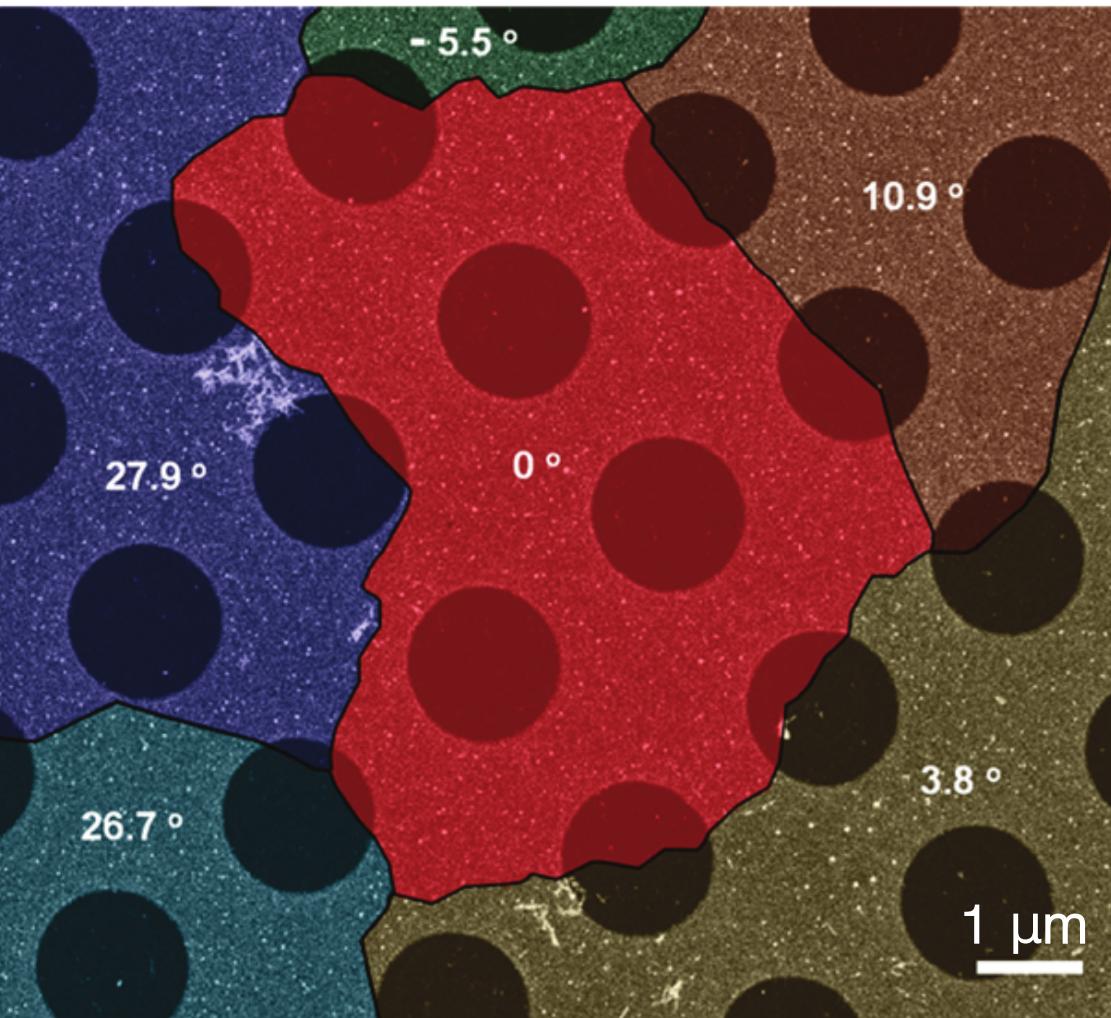
# CVD (deposición química de vapor)



# Grafeno CVD – policristalino

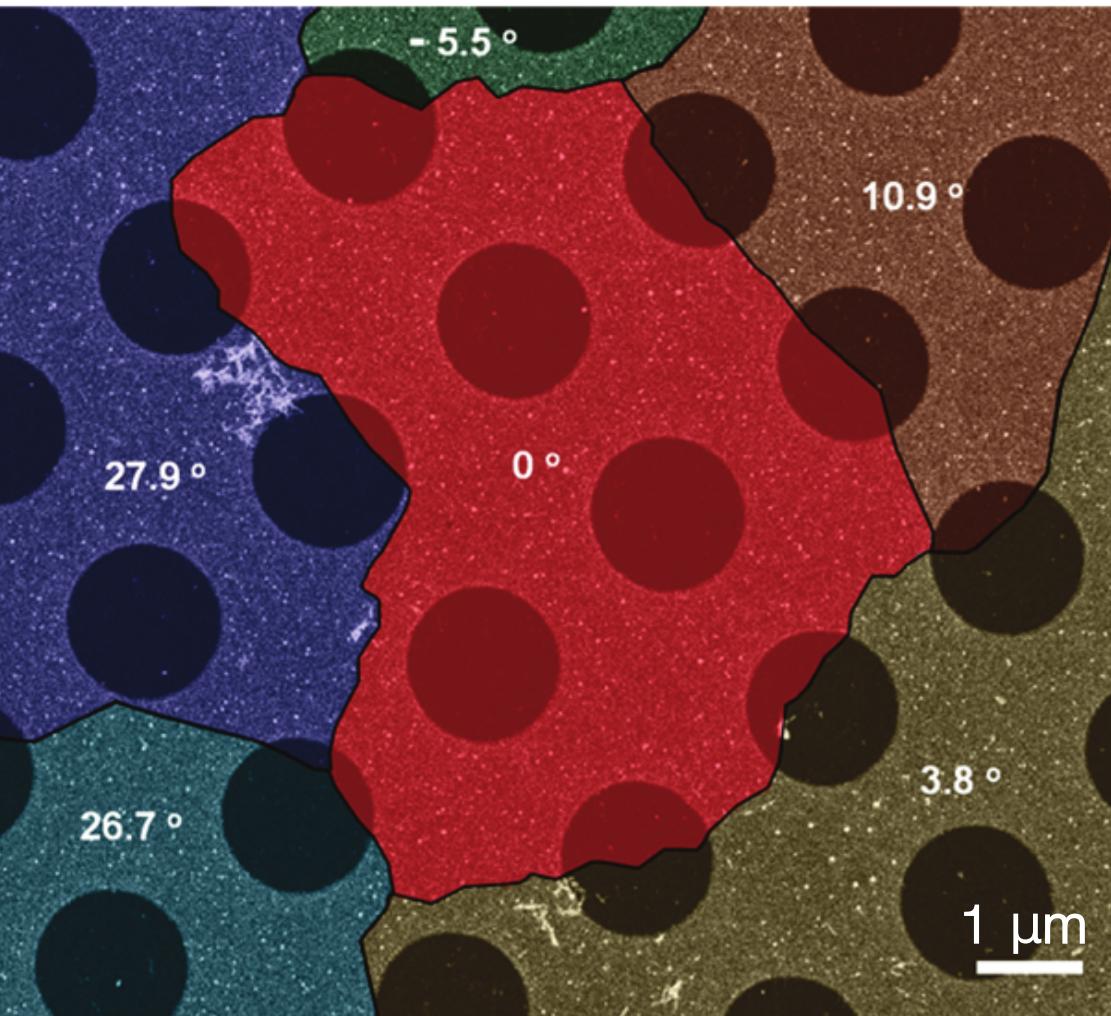


# Grafeno CVD – policristalino



$1 \mu\text{m}^2 \rightarrow 40$  millones de átomos

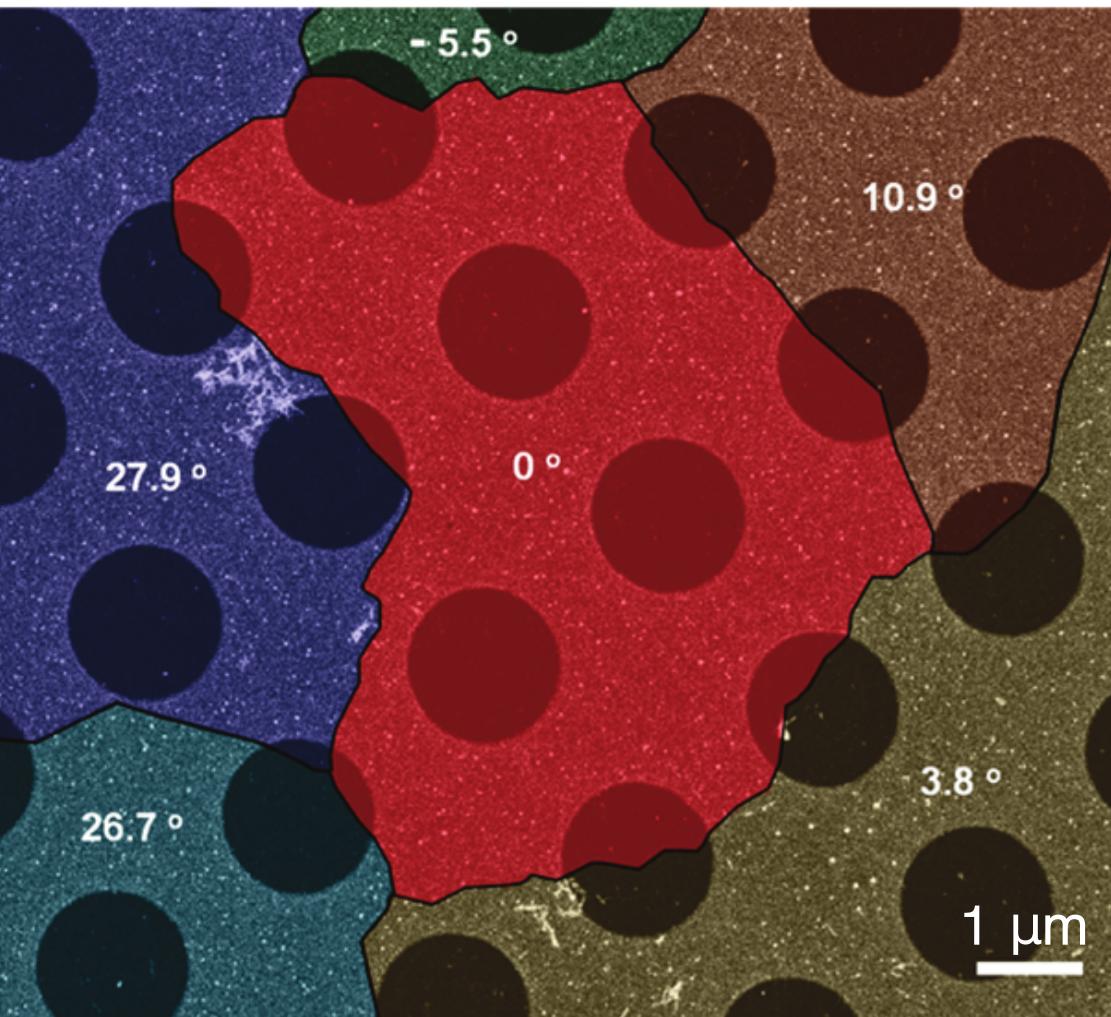
# Grafeno CVD – policristalino



$1 \mu\text{m}^2 \rightarrow 40$  millones de átomos

(1) Red policristalina

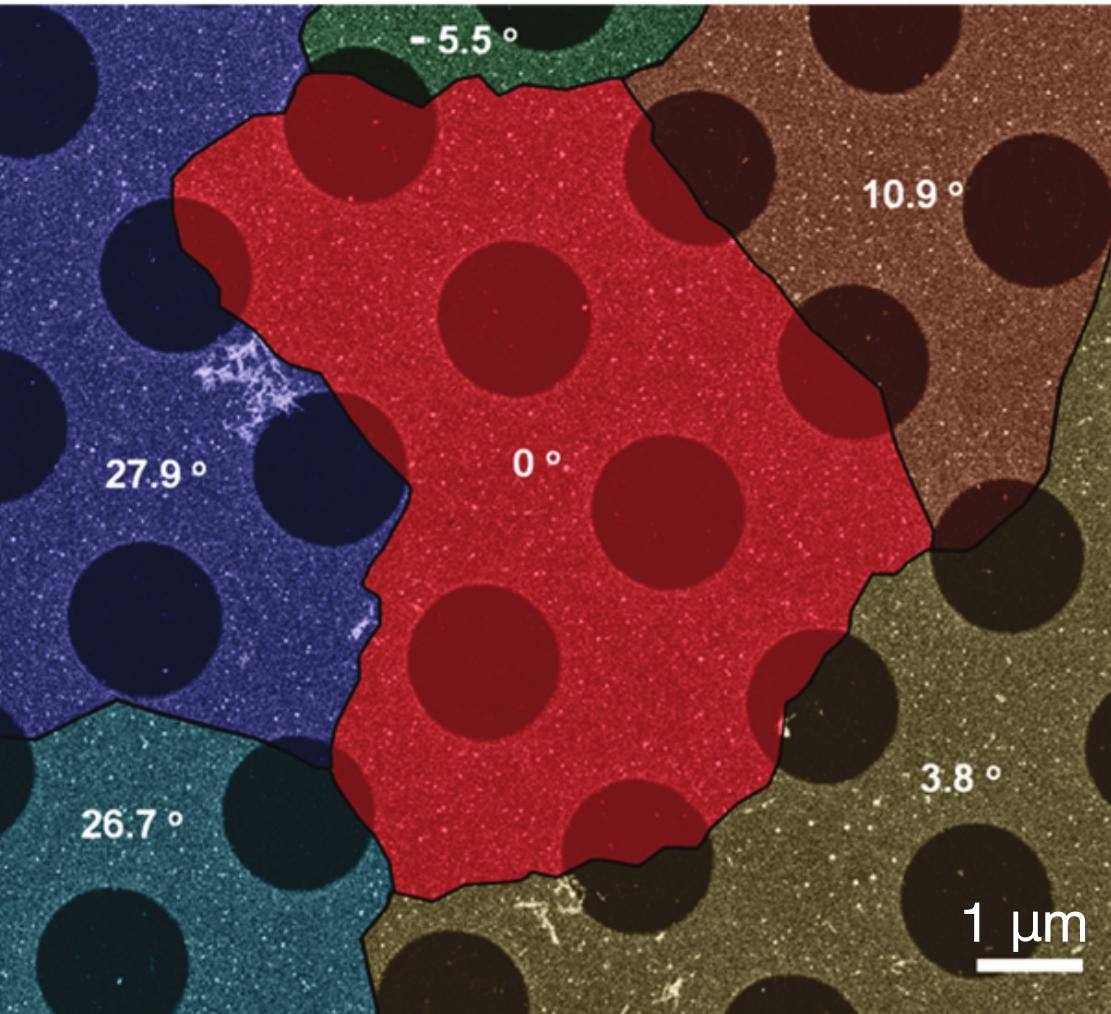
# Grafeno CVD – policristalino



$1 \mu\text{m}^2 \rightarrow 40$  millones de átomos

- (1) Red policristalina
- (2) Hamiltoniano semiempírico

# Grafeno CVD – policristalino



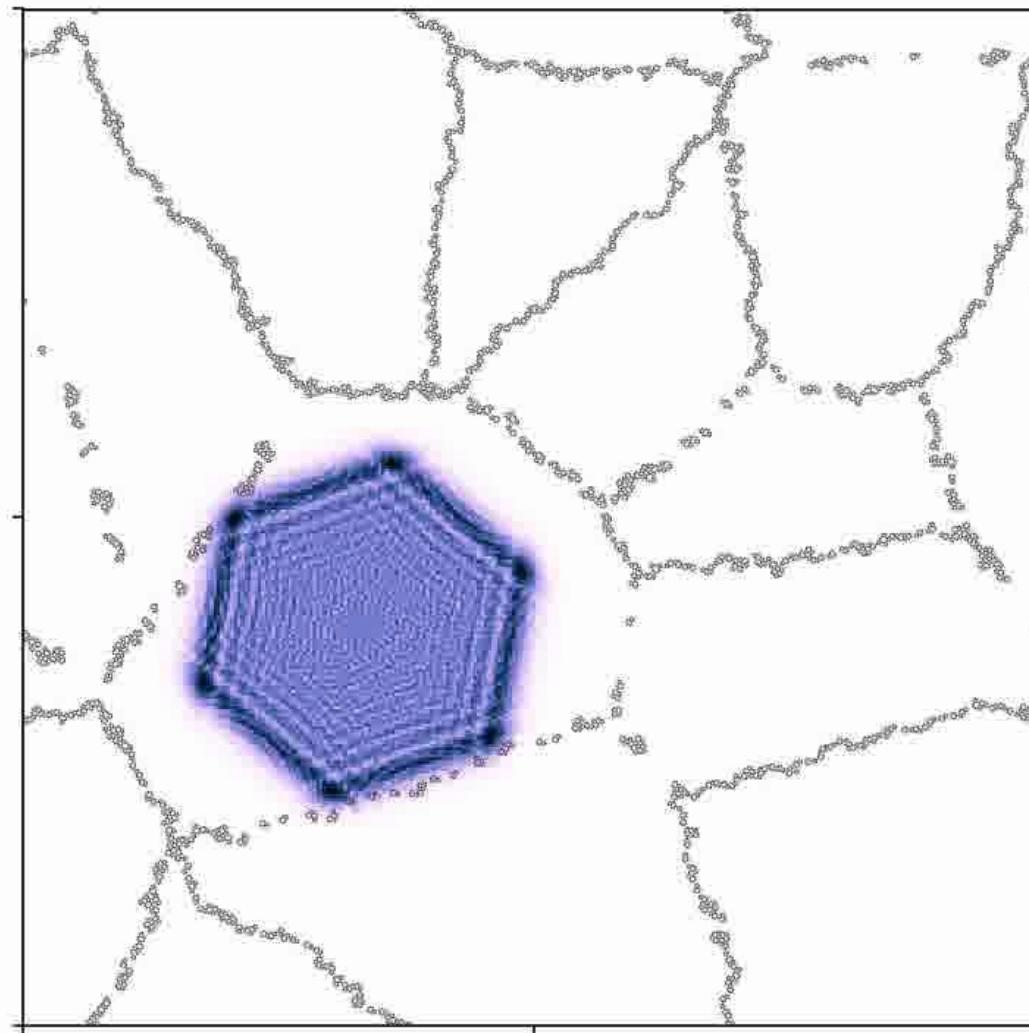
1  $\mu\text{m}^2 \rightarrow 40$  millones de átomos

- (1) Red policristalina
- (2) Hamiltoniano semiempírico
- (3) Modelo de respuesta lineal  
[Fórmula de Kubo-Greenwood]

# Dinámica del paquete de onda

[Video]

# Dinámica del paquete de onda



Curso

Hamiltoniano (approx.)

# ‘Toy model’



*The shard*

Grafeno (Hamiltoniano de amarre fuerte)



# Grafeno (Hamiltoniano de amarre fuerte)



## OBJETIVO (approx.):

- Modelar electrones en un cristal para calcular la estructura de bandas electrónicas.

# Grafeno (Hamiltoniano de amarre fuerte)



## OBJETIVO (approx.):

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*Es un método semiempírico*

LCAO- Combinación lineal de orbitales atómicos  
( Método de Hückel )

# Grafeno (Hamiltoniano de amarre fuerte)



## OBJETIVO (approx.):

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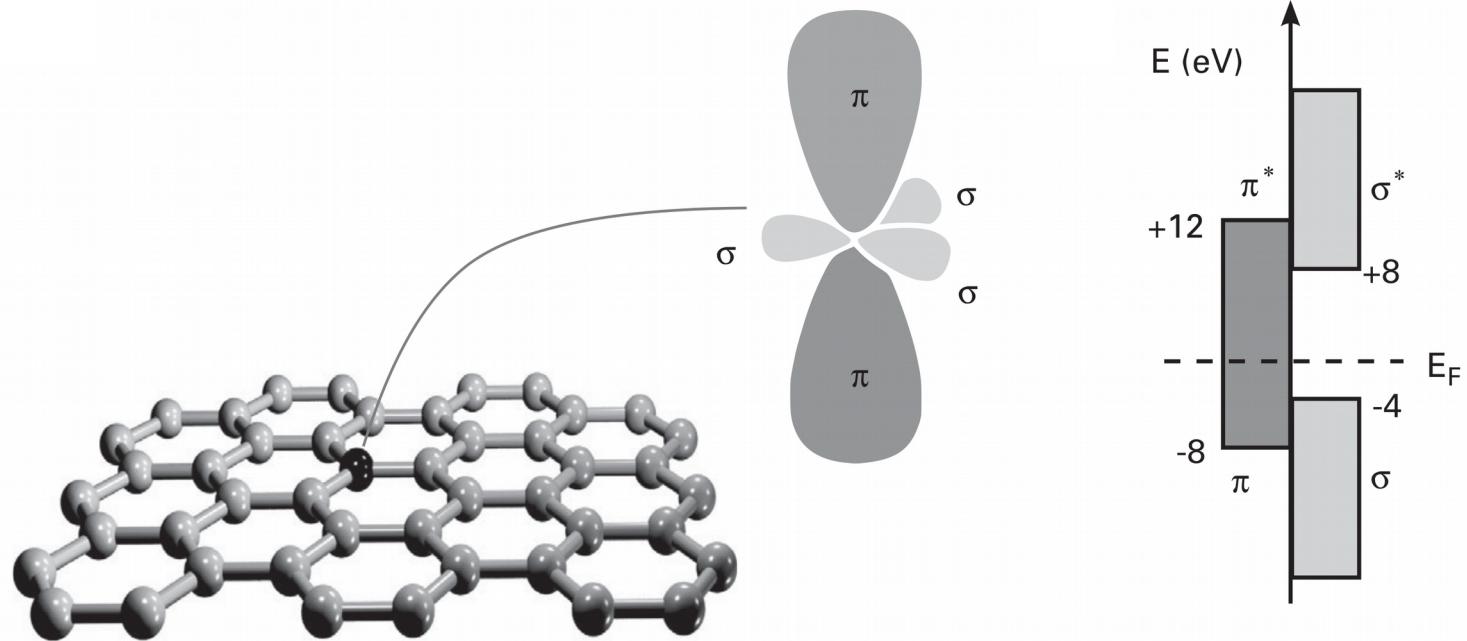
## Ventajas:

- Permite realizar cálculos de manera veloz en sistemas *MUY GRANDES*

## Desventajas:

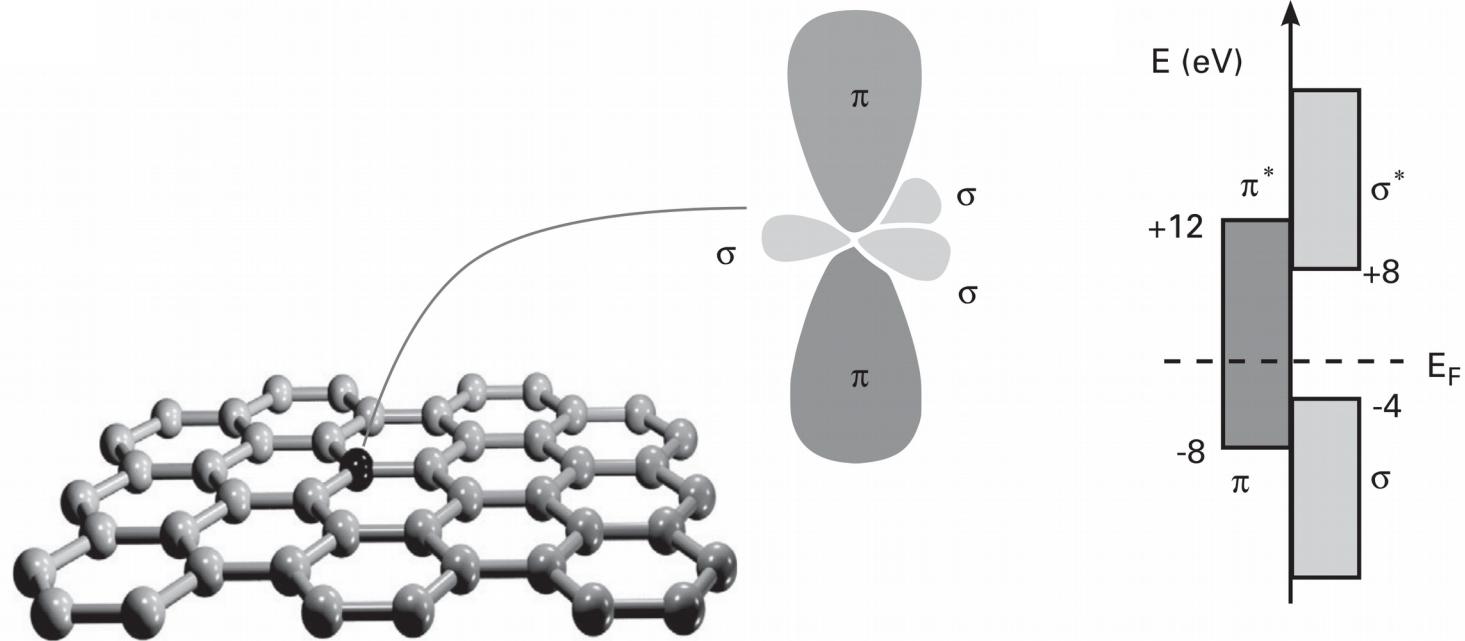
- *IGNORA LA INTERACCION e-e*

# Grafeno (Hamiltoniano de amarre fuerte)



$$\mathcal{H} = -t \sum_{\langle i,j \rangle} |i\rangle\langle j| + \text{h.c.}$$

# Grafeno (Hamiltoniano de amarre fuerte)



$$\mathcal{H} = -t \sum_{\langle i,j \rangle} |i\rangle\langle j| + \text{h.c.}$$

Idea básica: Conectividad (lattice) → Hamiltoniano

# Grafeno (Hamiltoniano de amarre fuerte)



En cada célula unitaria:

$$\psi_{\text{uc}}(\mathbf{r}) = a p_z(\mathbf{r} - \mathbf{r}_A) + b p_z(\mathbf{r} - \mathbf{r}_B)$$

**Teorema de Bloch** las eigenfunciones evaluadas en dos puntos de la red de Bravais  $\mathbf{R}_i$  and  $\mathbf{R}_j$ , difieren sólo por un factor  $\exp(i\mathbf{k} \cdot (\mathbf{R}_i - \mathbf{R}_j))$

$$\Psi(\mathbf{k}, \mathbf{r}) = c_A(\mathbf{k}) \tilde{p}_z^A(\mathbf{k}, \mathbf{r}) + c_B(\mathbf{k}) \tilde{p}_z^B(\mathbf{k}, \mathbf{r}),$$

$$\tilde{p}_z^A(\mathbf{k}, \mathbf{r}) = \frac{1}{\sqrt{N_{\text{cells}}}} \sum_j e^{i\mathbf{k} \cdot \mathbf{R}_j} p_z(\mathbf{r} - \mathbf{r}_A - \mathbf{R}_j),$$

$$\tilde{p}_z^B(\mathbf{k}, \mathbf{r}) = \frac{1}{\sqrt{N_{\text{cells}}}} \sum_j e^{i\mathbf{k} \cdot \mathbf{R}_j} p_z(\mathbf{r} - \mathbf{r}_B - \mathbf{R}_j),$$

Despreciamos el overlap

$$s = \langle p_z^A | p_z^B \rangle$$

Entonces la condición de ortogonalidad es:  $\langle \tilde{p}_z^\alpha(\mathbf{k}) | \tilde{p}_z^\beta(\mathbf{k}') \rangle = \delta_{\mathbf{k}, \mathbf{k}'} \delta_{\alpha, \beta}$ ,

# Grafeno (Hamiltoniano de amarre fuerte)



Usando la ortogonalidad previa en la ecuación de Schrödinger

$$\begin{pmatrix} \mathcal{H}_{AA}(\mathbf{k}) & \mathcal{H}_{AB}(\mathbf{k}) \\ \mathcal{H}_{BA}(\mathbf{k}) & \mathcal{H}_{BB}(\mathbf{k}) \end{pmatrix} \begin{pmatrix} c_A(\mathbf{k}) \\ c_B(\mathbf{k}) \end{pmatrix} = E(\mathbf{k}) \begin{pmatrix} c_A(\mathbf{k}) \\ c_B(\mathbf{k}) \end{pmatrix}$$

$$\mathcal{H}_{AA}(\mathbf{k}) = \frac{1}{N_{\text{cells}}} \sum_{i,j} e^{i\mathbf{k} \cdot (\mathbf{R}_j - \mathbf{R}_i)} \langle p_z^{A,\mathbf{R}_i} | \mathcal{H} | p_z^{A,\mathbf{R}_j} \rangle, \quad \begin{matrix} \text{Referencia de} \\ \text{energía} \end{matrix}$$

$$\mathcal{H}_{AB}(\mathbf{k}) = \frac{1}{N_{\text{cells}}} \sum_{i,j} e^{i\mathbf{k} \cdot (\mathbf{R}_j - \mathbf{R}_i)} \langle p_z^{A,\mathbf{R}_i} | \mathcal{H} | p_z^{B,\mathbf{R}_j} \rangle,$$

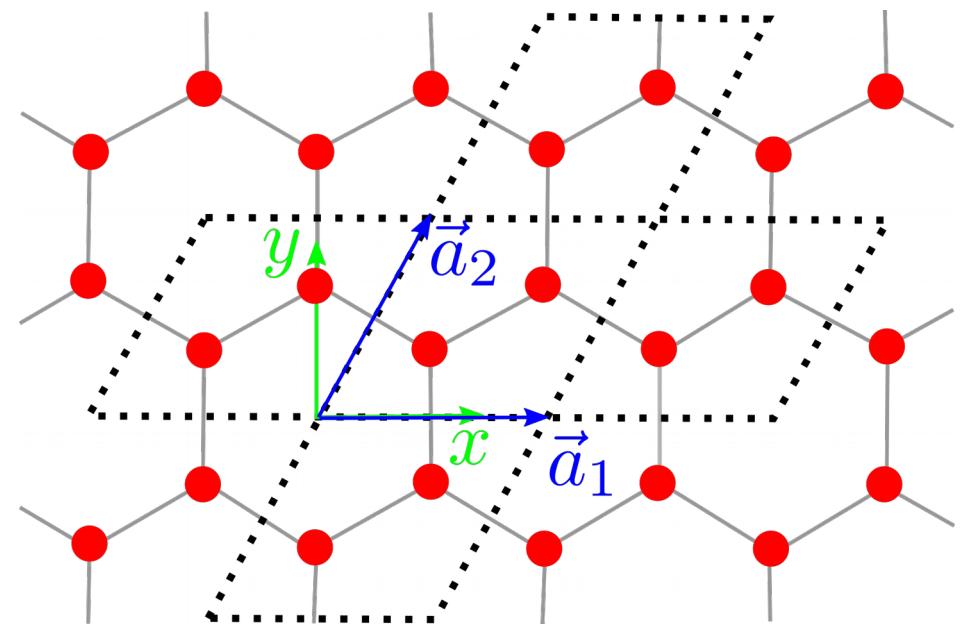
$$\mathcal{H}_{AB}(\mathbf{k}) = \langle p_z^{A,0} | \mathcal{H} | p_z^{B,0} \rangle + e^{-i\mathbf{k} \cdot \mathbf{a}_1} \langle p_z^{A,0} | \mathcal{H} | p_z^{B,-\mathbf{a}_1} \rangle + e^{-i\mathbf{k} \cdot \mathbf{a}_2} \langle p_z^{A,0} | \mathcal{H} | p_z^{B,-\mathbf{a}_2} \rangle$$

$$= -\gamma_0 \alpha(\mathbf{k}),$$

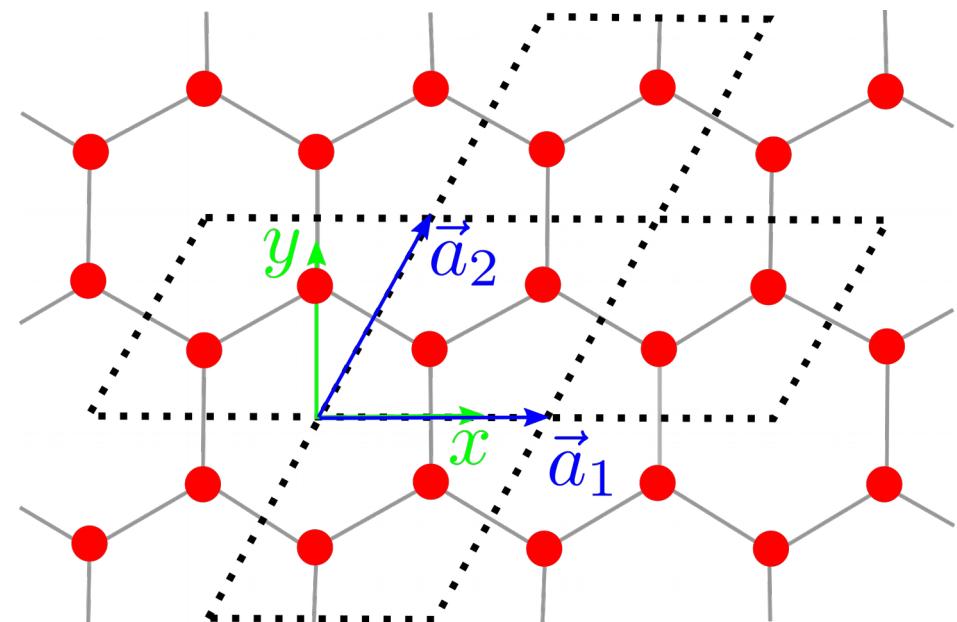
$$\alpha(\mathbf{k}) = (1 + e^{-i\mathbf{k} \cdot \mathbf{a}_1} + e^{-i\mathbf{k} \cdot \mathbf{a}_2}).$$

$$\mathcal{H}(\mathbf{k}) = \begin{pmatrix} 0 & -\gamma_0 \alpha(\mathbf{k}) \\ -\gamma_0 \alpha(\mathbf{k})^* & 0 \end{pmatrix}$$

# Celda unitaria → 1 zona de Brillouin

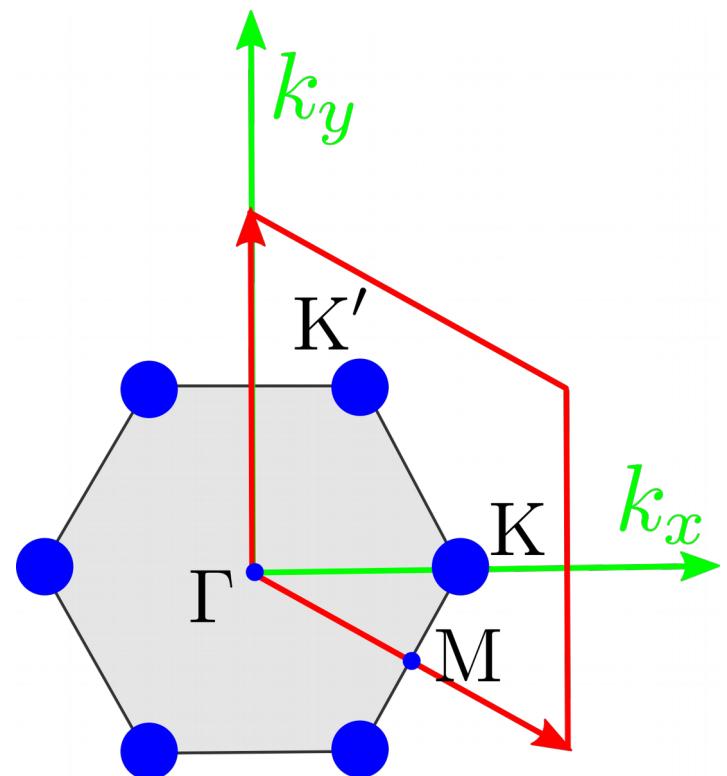
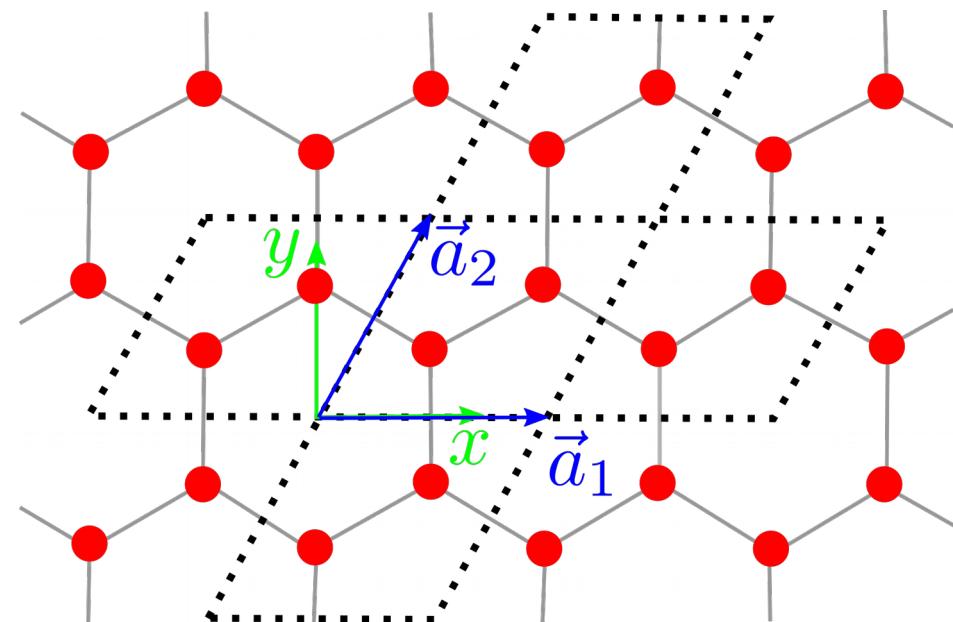


# Celda unitaria → 1 zona de Brillouin



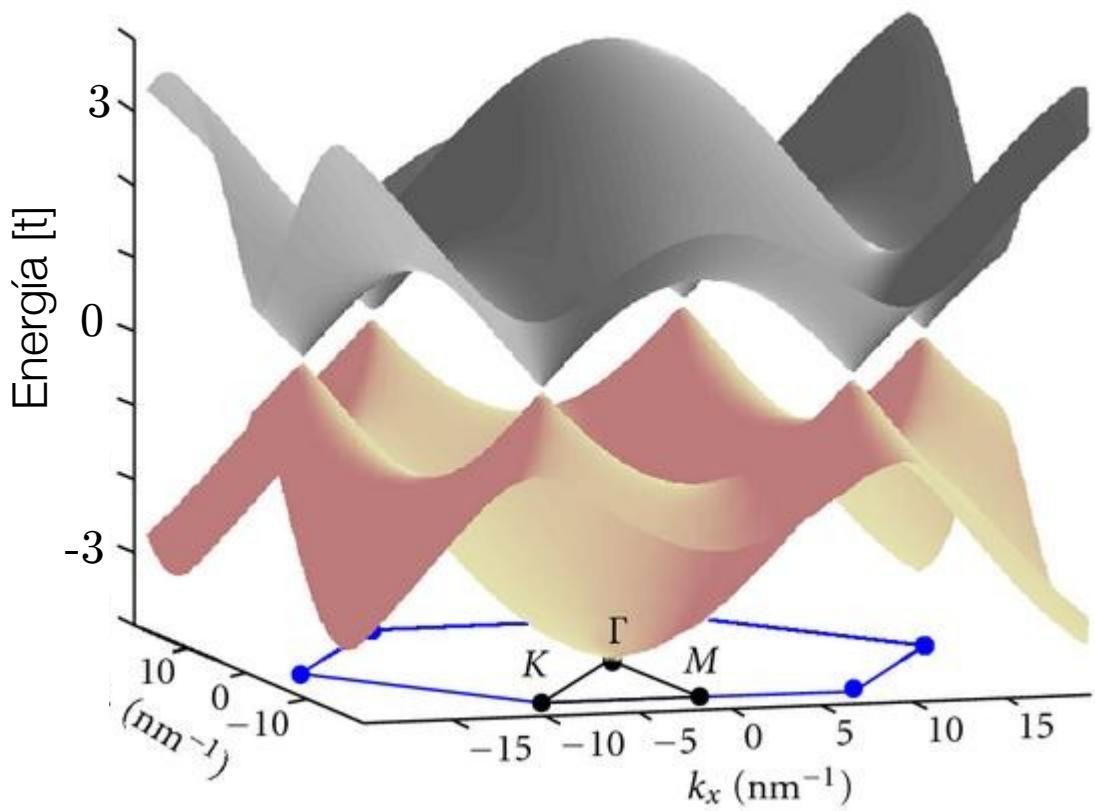
$$\begin{bmatrix} a_{1x} & a_{2x} \\ a_{1y} & a_{2y} \end{bmatrix} \begin{bmatrix} b_{1x} & b_{2x} \\ b_{1y} & b_{2y} \end{bmatrix} = \begin{bmatrix} 2\pi & 0 \\ 0 & 2\pi \end{bmatrix}$$

Celda unitaria → 1 zona de Brillouin

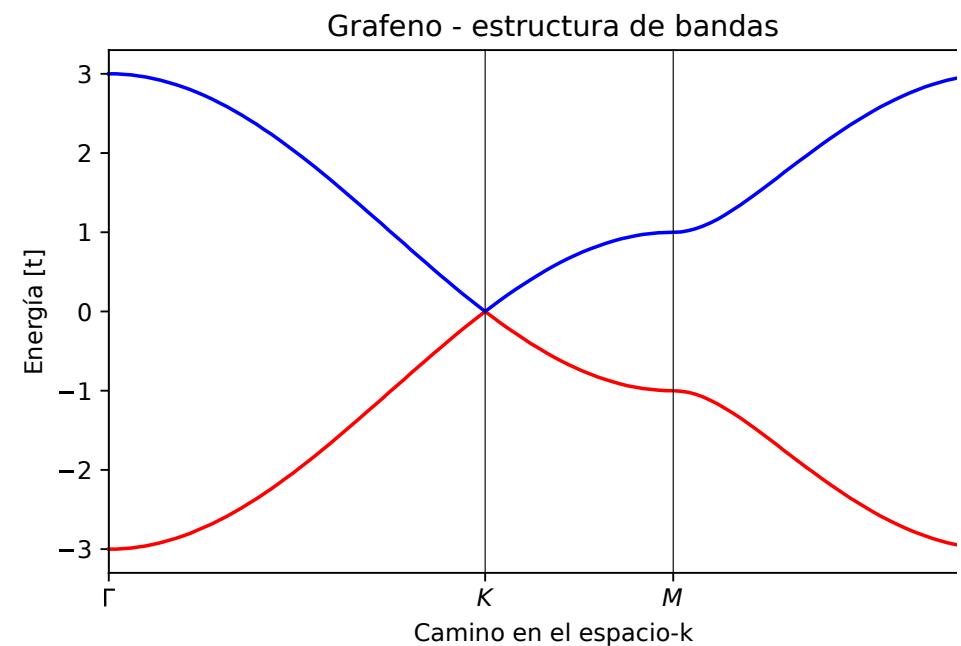
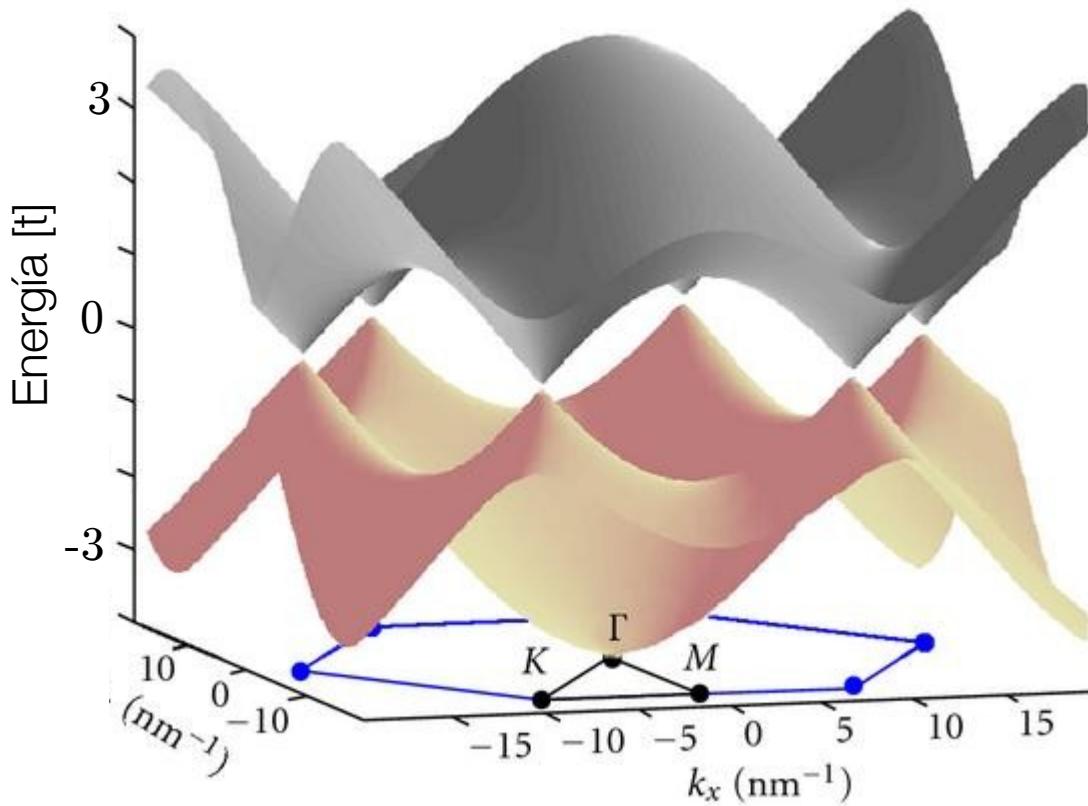


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$$H(\vec{k}) = \begin{bmatrix} 0 & -t(1 + e^{-i\vec{k}\cdot\vec{a}_1} + e^{-i\vec{k}\cdot\vec{a}_2}) \\ -t(1 + e^{i\vec{k}\cdot\vec{a}_1} + e^{i\vec{k}\cdot\vec{a}_2}) & 0 \end{bmatrix}$$

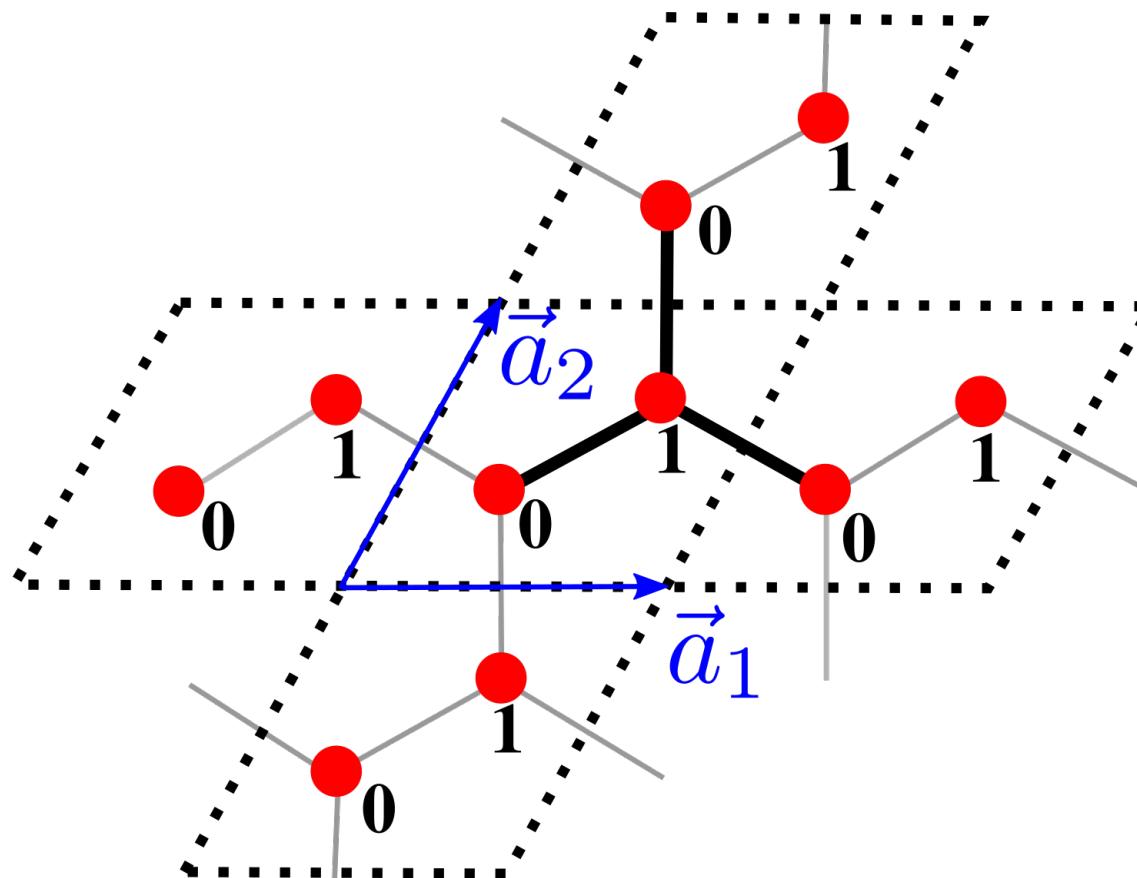


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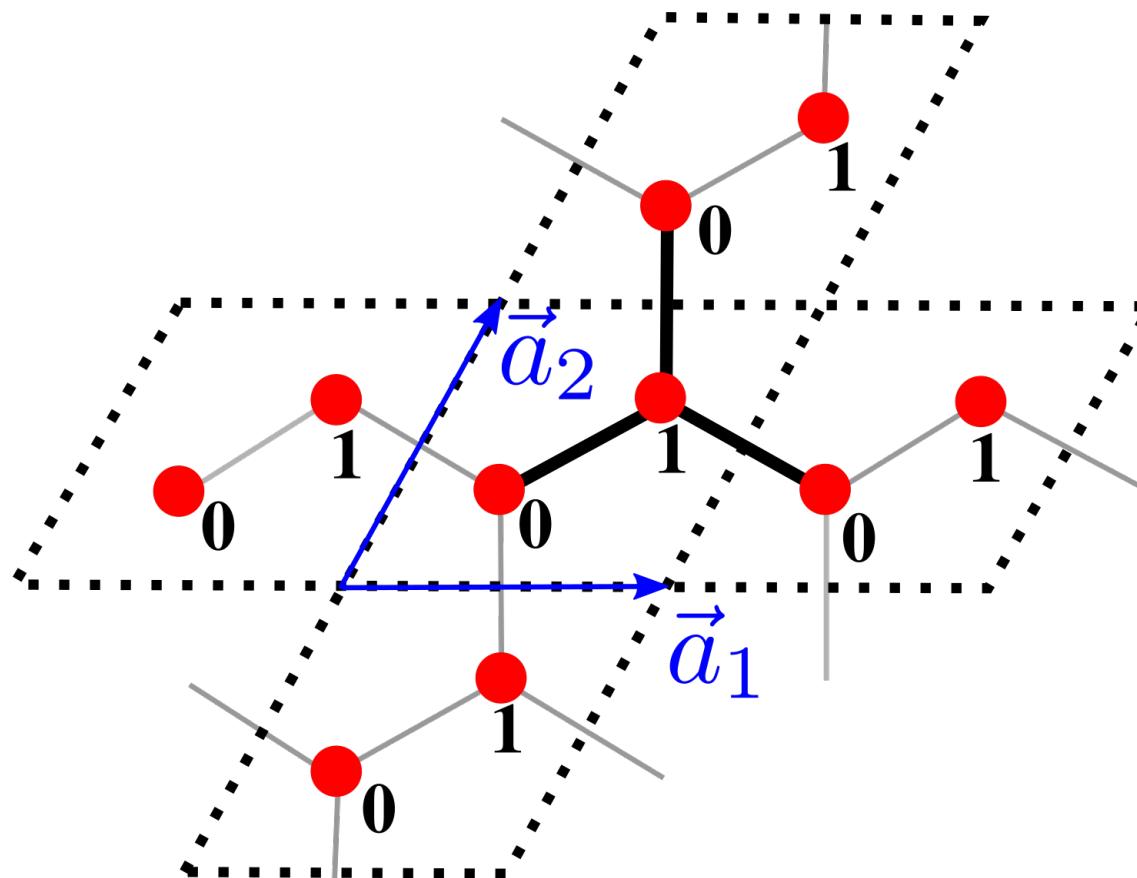
# pythtb

Sinisa Coh (University of California at Riverside) and David Vanderbilt (Rutgers University)



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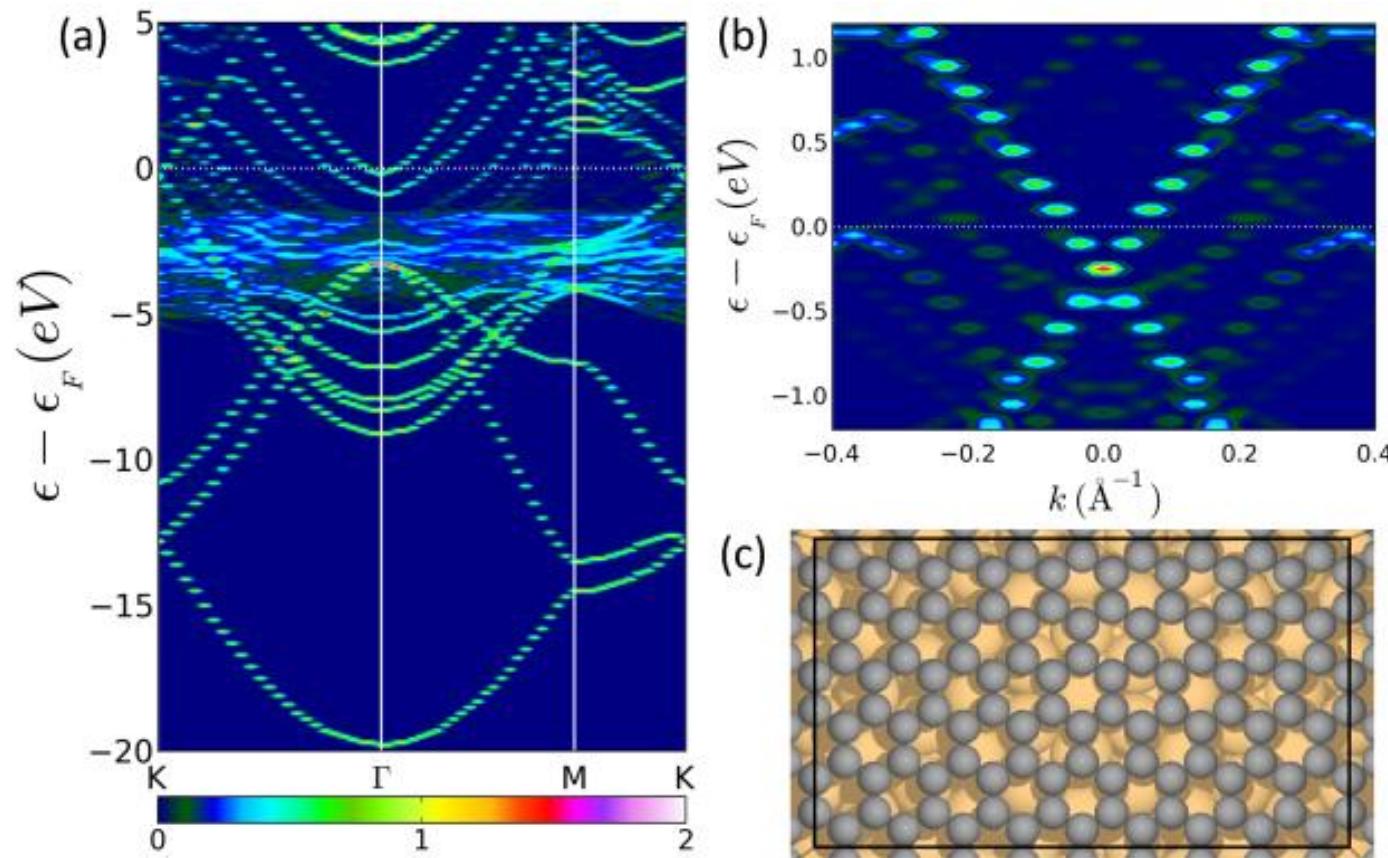
Estructura de bandas

Superceldas

Ribbons

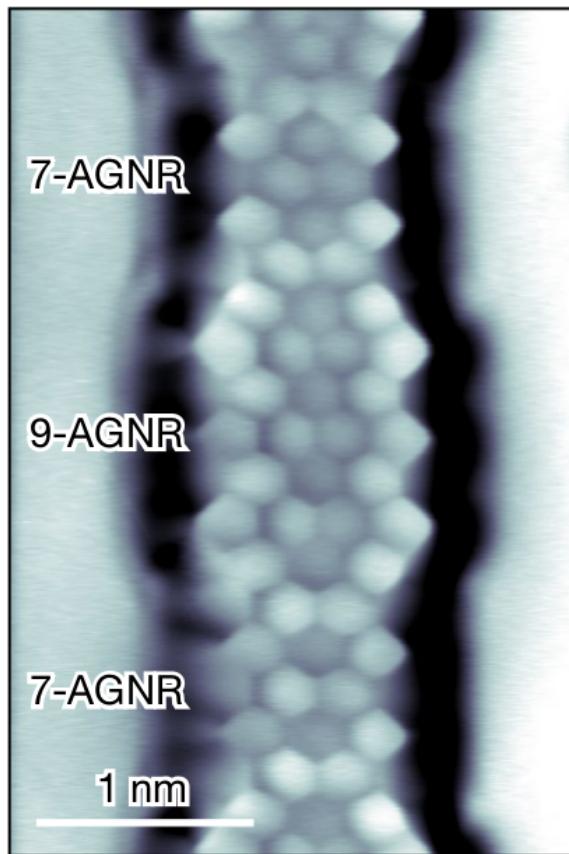
DOS

# Unfold brillouin zone ( Graphene@Cu(111) )

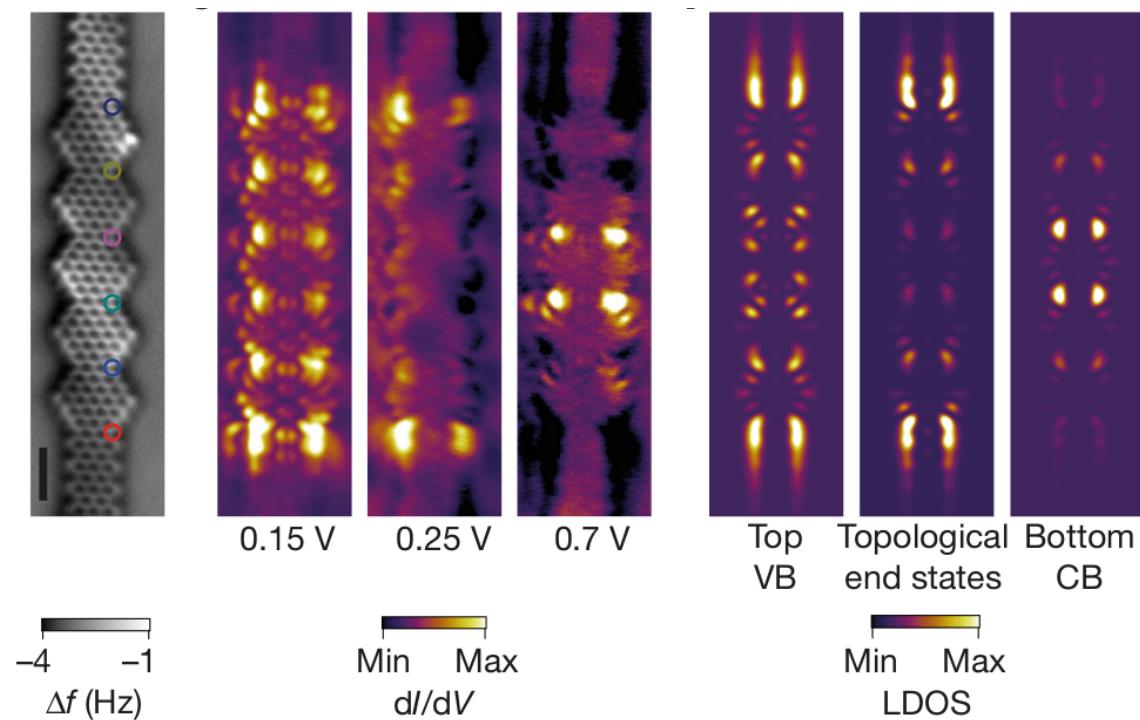


# Nanoribbons

Topological band engineering of graphene nanoribbons  
Nature 560, 204-208 (2018)



Engineering of robust topological quantum phases  
in graphene nanoribbons  
Nature 560, 209-213 (2018)

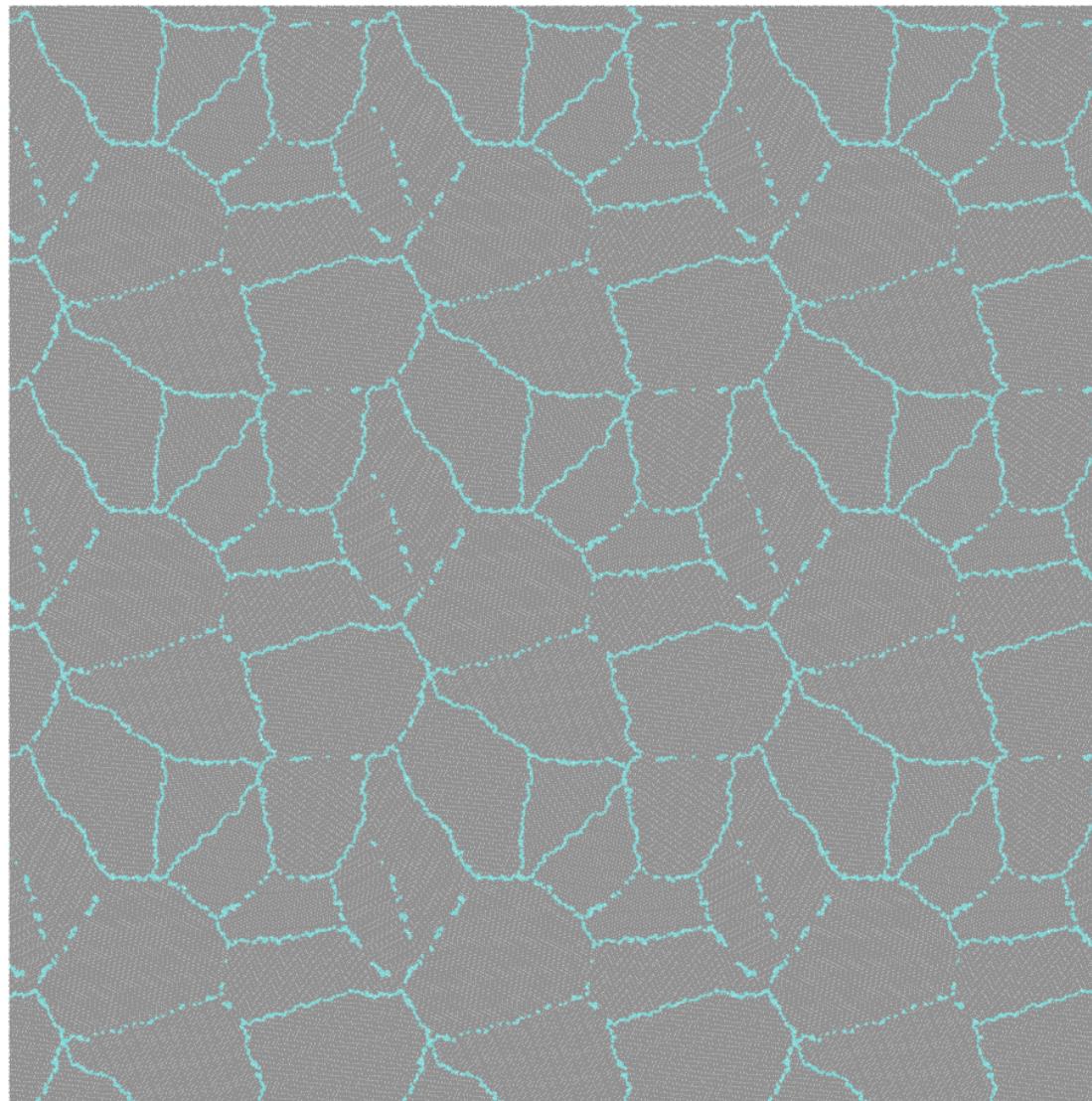


# Operador evolución

[video]

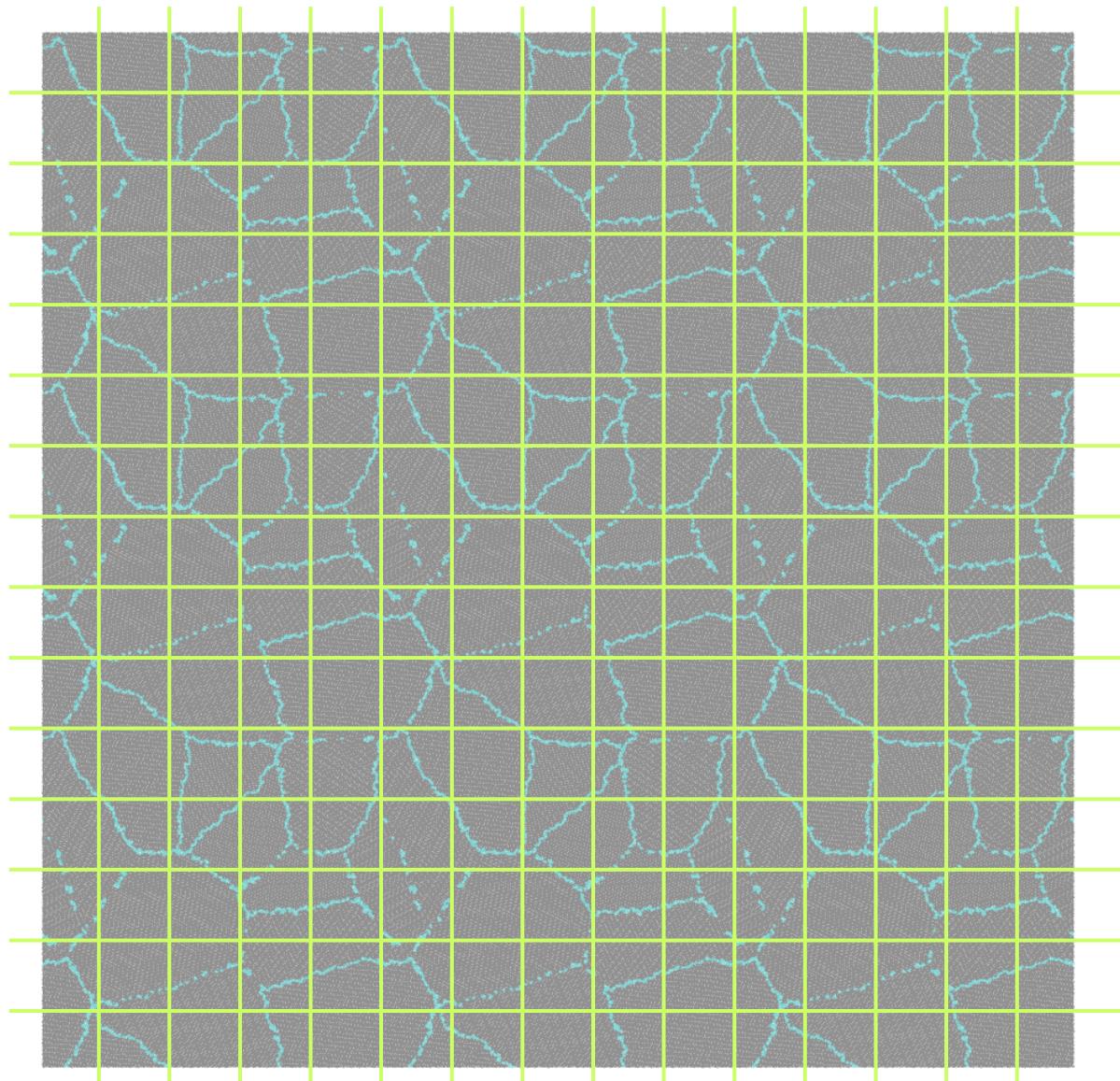
# Construir el Hamiltoniano

Amarre fuerte (Tight-binding) → Matriz de conectividad



# Construir el Hamiltoniano

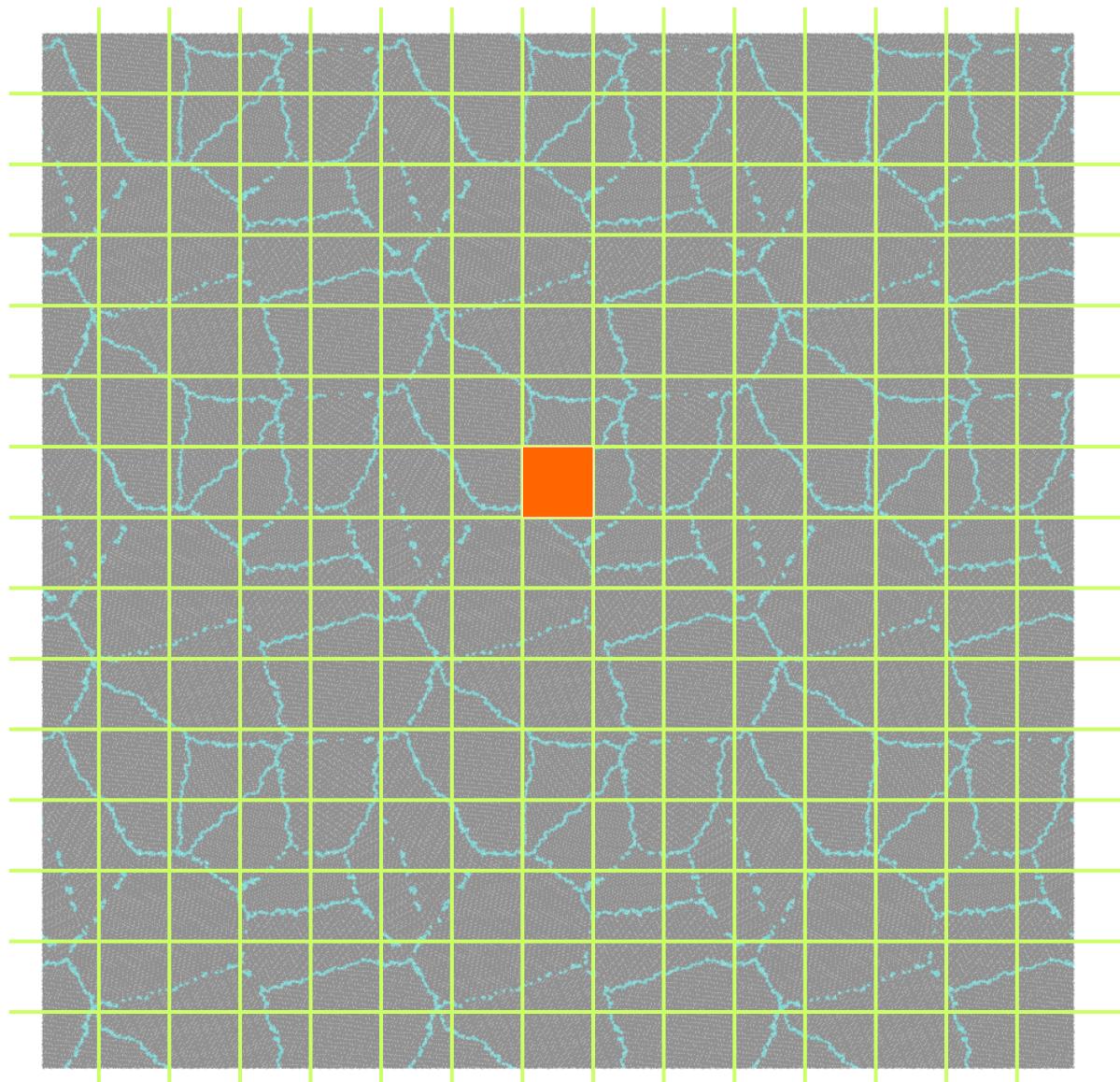
Amarre fuerte (Tight-binding) → Matriz de conectividad



- Cells(  $i, j, \#$  )

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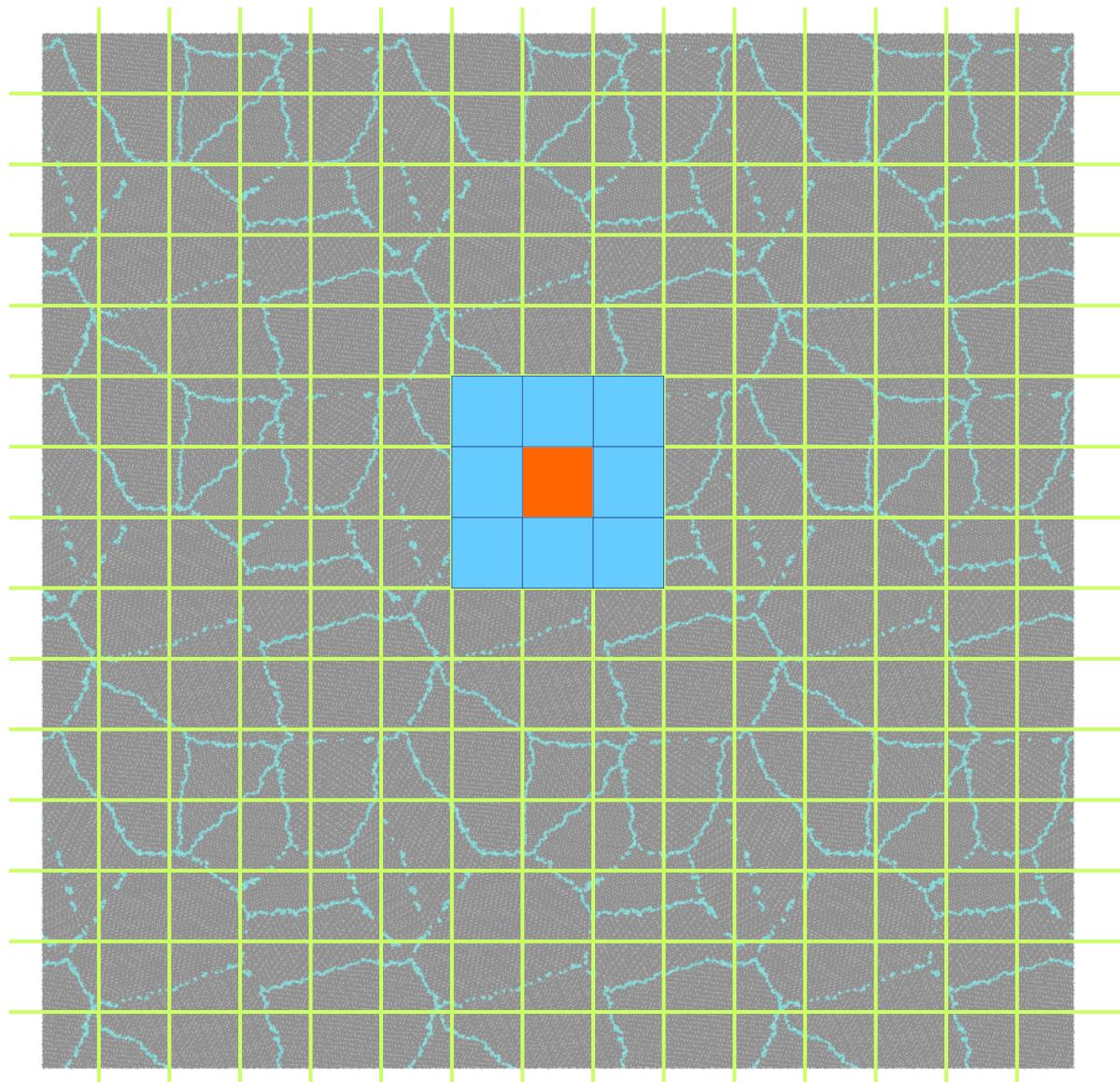


- Cells(  $i, j, \#$  )

- Busqueda de vecinos

# Construir el Hamiltoniano

Amarre fuerte (Tight-binding) → Matriz de conectividad



- Cells(  $i, j, \#$  )
- Búsqueda de vecinos
- Cada búsqueda es independiente

# Operador evolución (receta)

$$|\Psi(t)\rangle = e^{-i\mathcal{H}t/\hbar} |\Psi(0)\rangle$$

$$e^{-i\mathcal{H}t} = J_0(t) + 2 \sum_{m=1}^{\infty} (-i)^m J_m(t) T_m(\mathcal{H})$$

(1) Estado inicial

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- (2) Coeficientes → funciones de Bessel

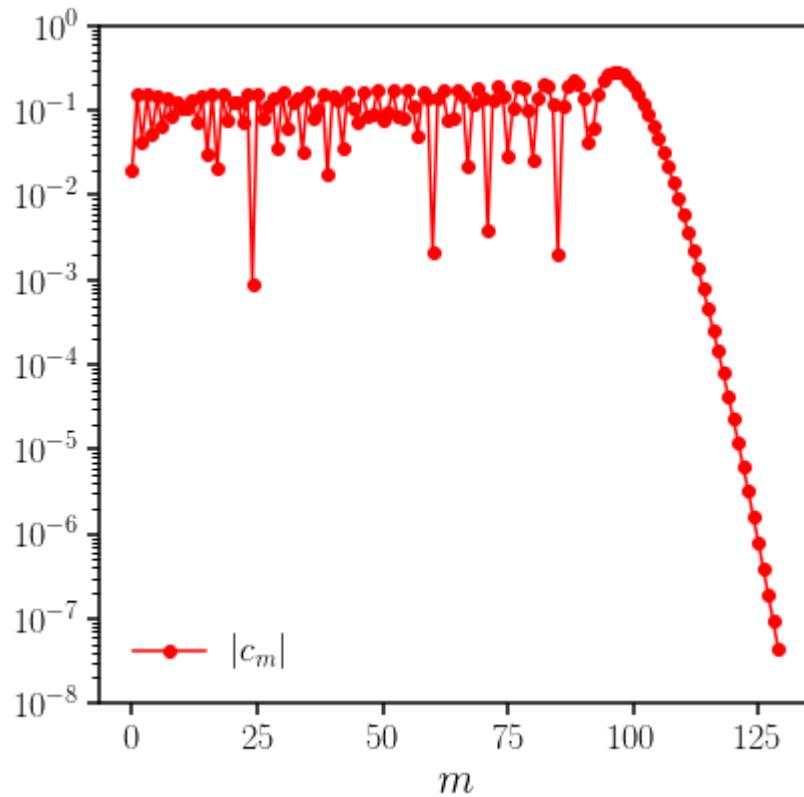
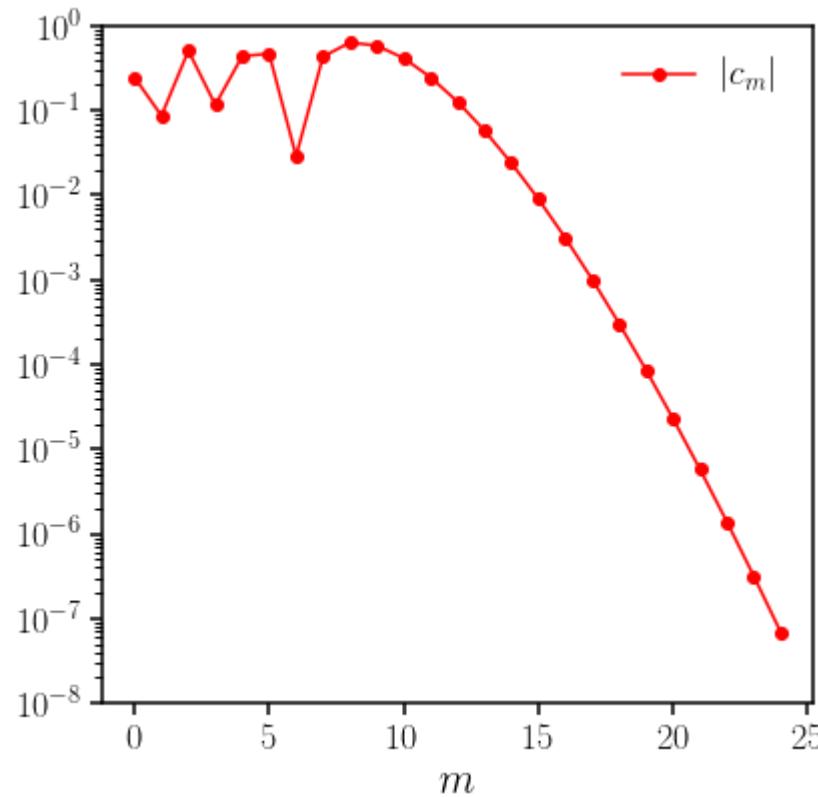
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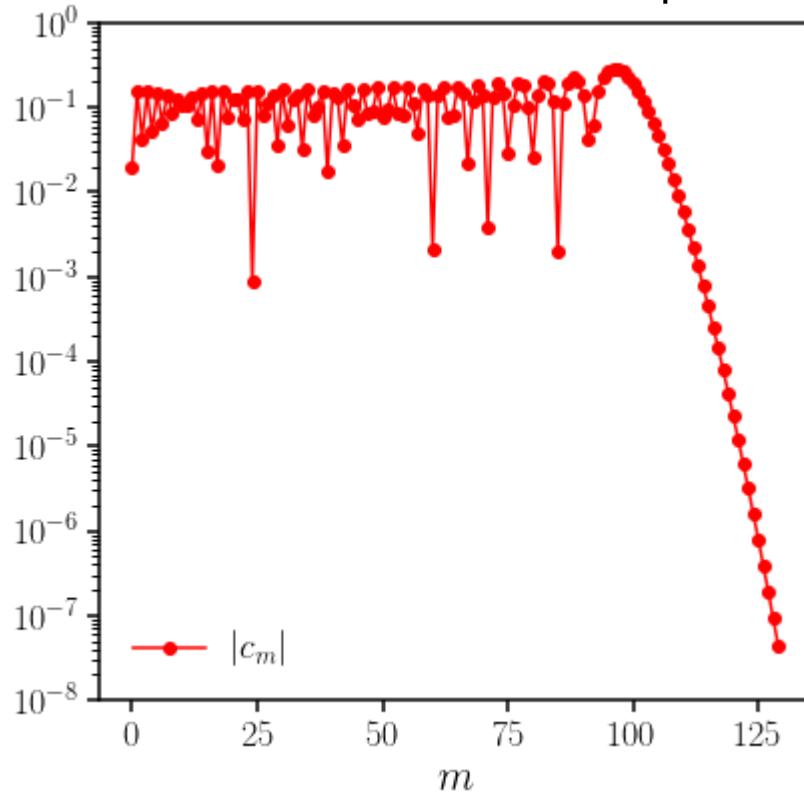
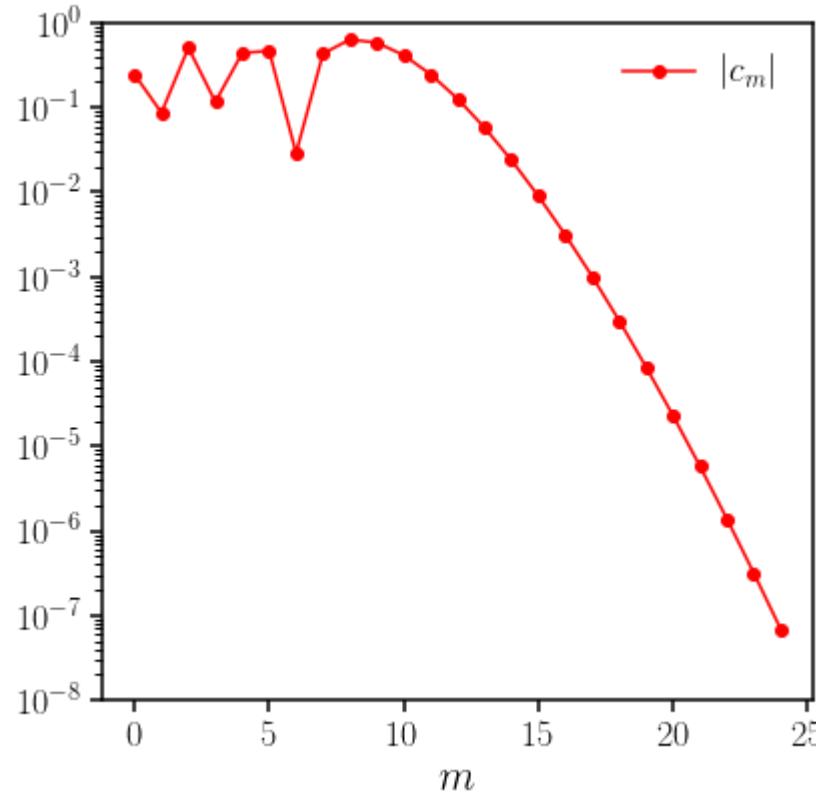
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OBS:

Pasos de tiempo largos



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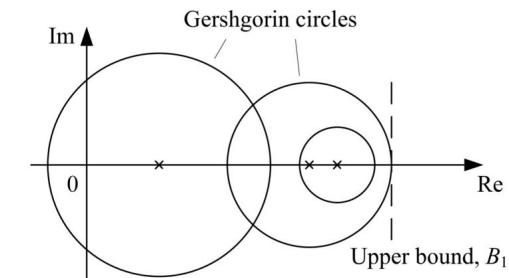
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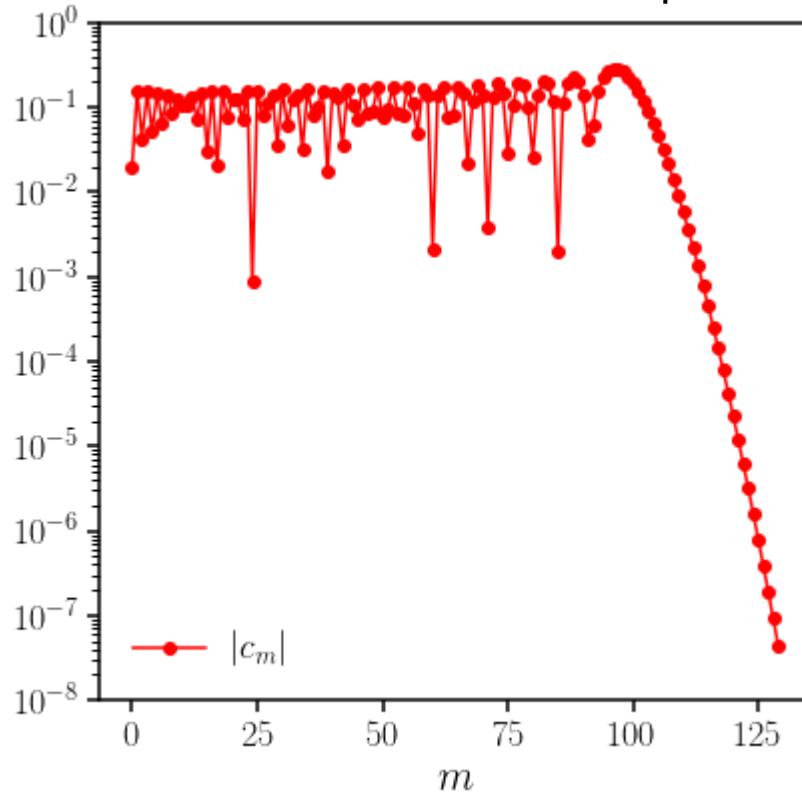
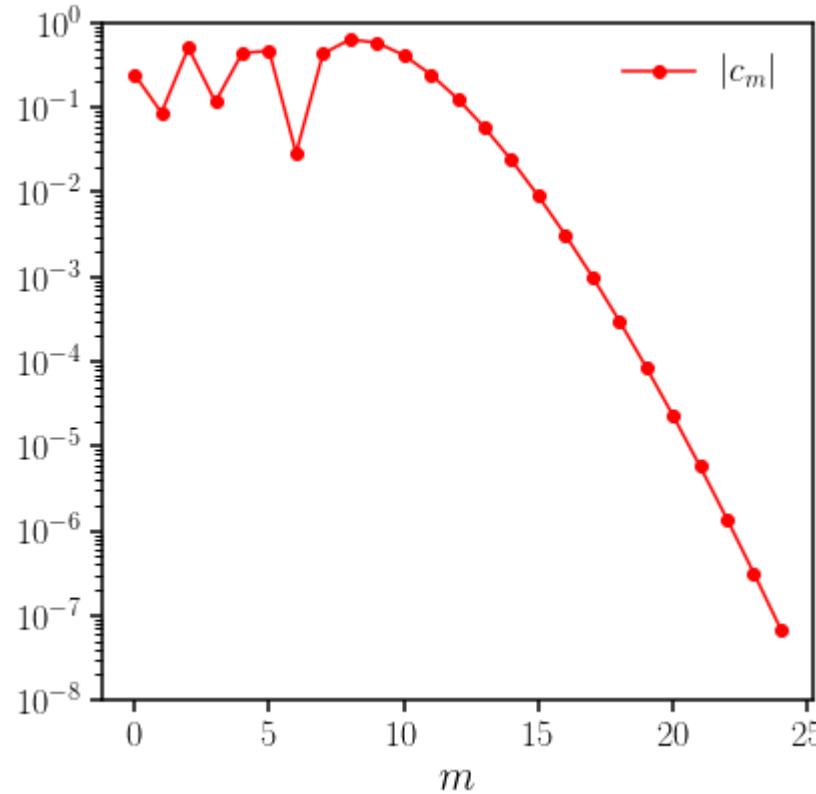
OBS:

Teorema de Gershgoring



OBS:

Pasos de tiempo largos



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(3) Recursion → Chebyshev polynomials

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$$T_0(H) = \mathcal{I}$$

$$T_1(H) = H$$

$$T_2(H) = 2H^2 - H$$

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$$T_m(H) = 2HT_{m-1}(H) - T_{m-2}(H)$$

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$$|r_0\rangle = T_0(H)|\Psi_0\rangle = |\Psi_0\rangle$$

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$$|r_1\rangle = T_1(H)|r_0\rangle = H|r_0\rangle$$

$$T_0(H) = \mathcal{I}$$

$$T_1(H) = H$$

$$T_m(H) = 2HT_{m-1}(H) - T_{m-2}(H)$$

# Operador evolución (receta)

$$|\Psi(t)\rangle = e^{-i\mathcal{H}t/\hbar} |\Psi(0)\rangle$$

$$e^{-i\mathcal{H}t} = J_0(t) + 2 \sum_{m=1}^{\infty} (-i)^m J_m(t) T_m(\mathcal{H})$$

(3) Recursion → Chebyshev polynomials

$$T_0(H) = \mathcal{I}$$

$$T_1(H) = H$$

$$T_m(H) = 2HT_{m-1}(H) - T_{m-2}(H)$$

$$|r_0\rangle = T_0(H)|\Psi_0\rangle = |\Psi_0\rangle$$

$$|r_1\rangle = T_1(H)|r_0\rangle = H|r_0\rangle$$

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**PAUSE  
RELAX  
THINK**

# Funciones de Green (y DOS)

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$$\text{DOS}(E) = -\frac{1}{\pi} \text{Im} \text{ Tr} \{G(E)\}$$

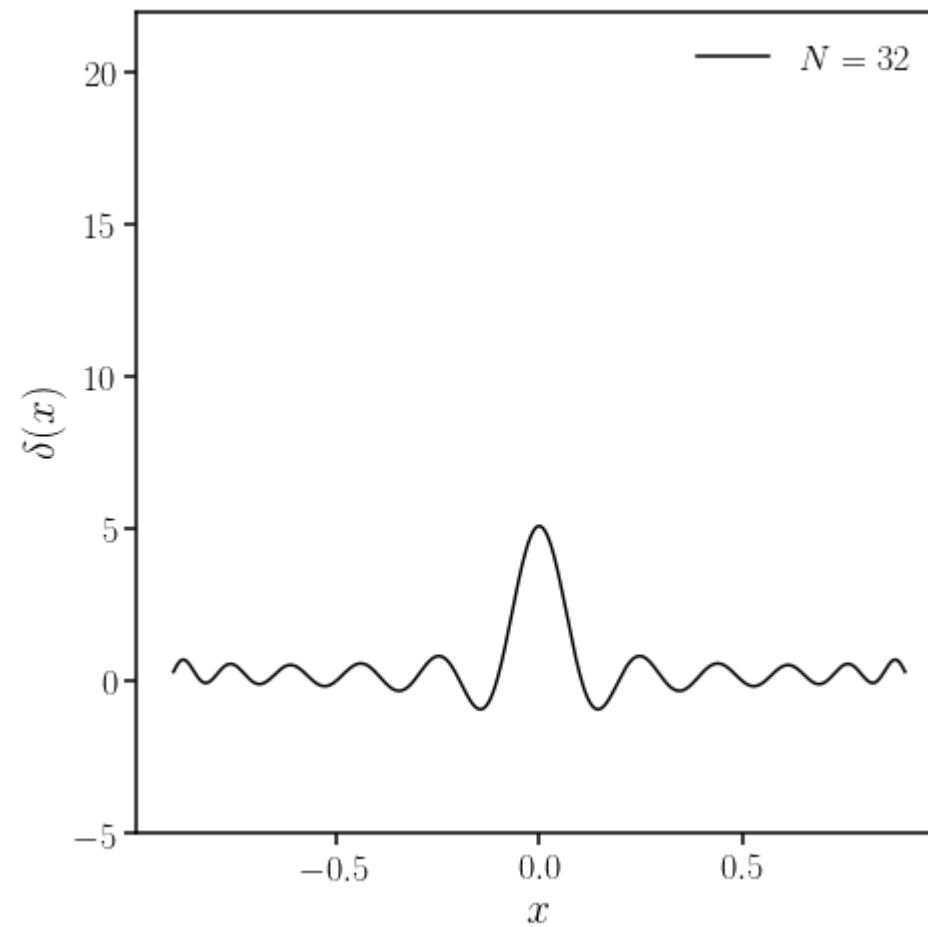
$$G(E) = \frac{1}{E + i\eta - \hat{H}} \xrightarrow{\eta \rightarrow 0} \delta(E - \hat{H})$$

# Expansión en polinomios (Delta de Dirac)

$$f(x) \approx \frac{1}{\pi \sqrt{1-x^2}} \left( \mu_0 + 2 \sum_{n=1}^{N-1} \mu_n T_n(x) \right), \quad \mu_n = \int_{-1}^1 f(x) T_n(x) dx.$$

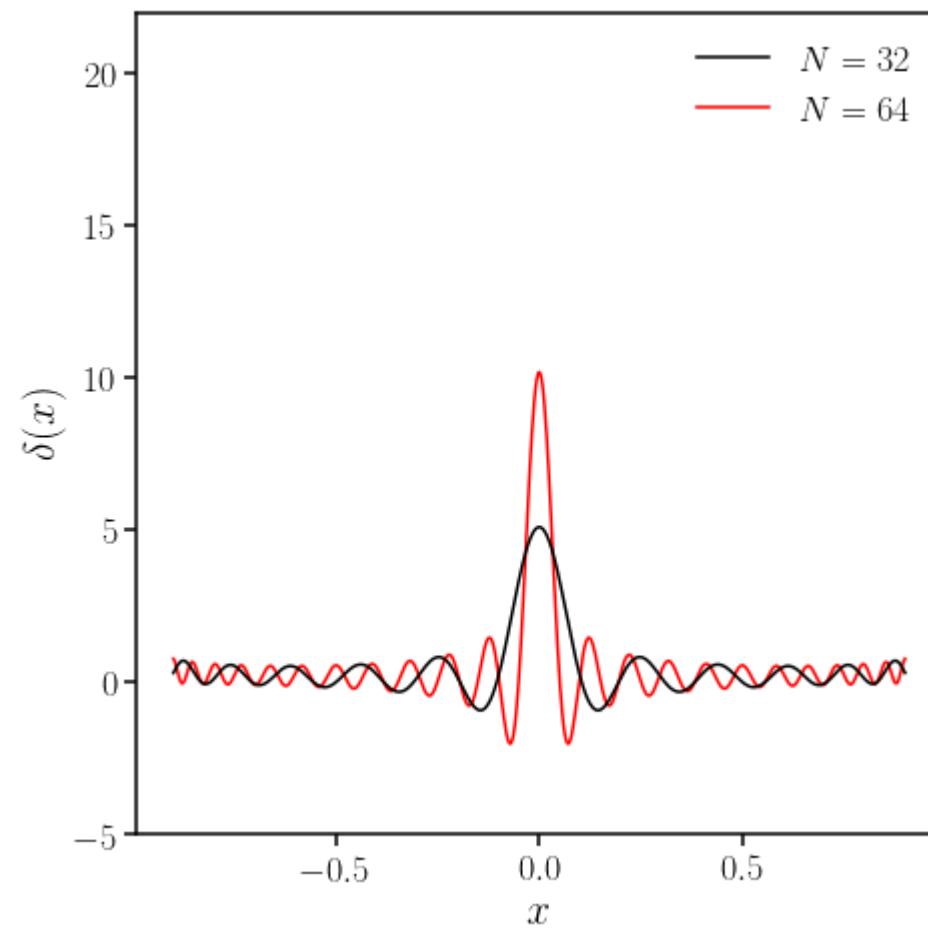
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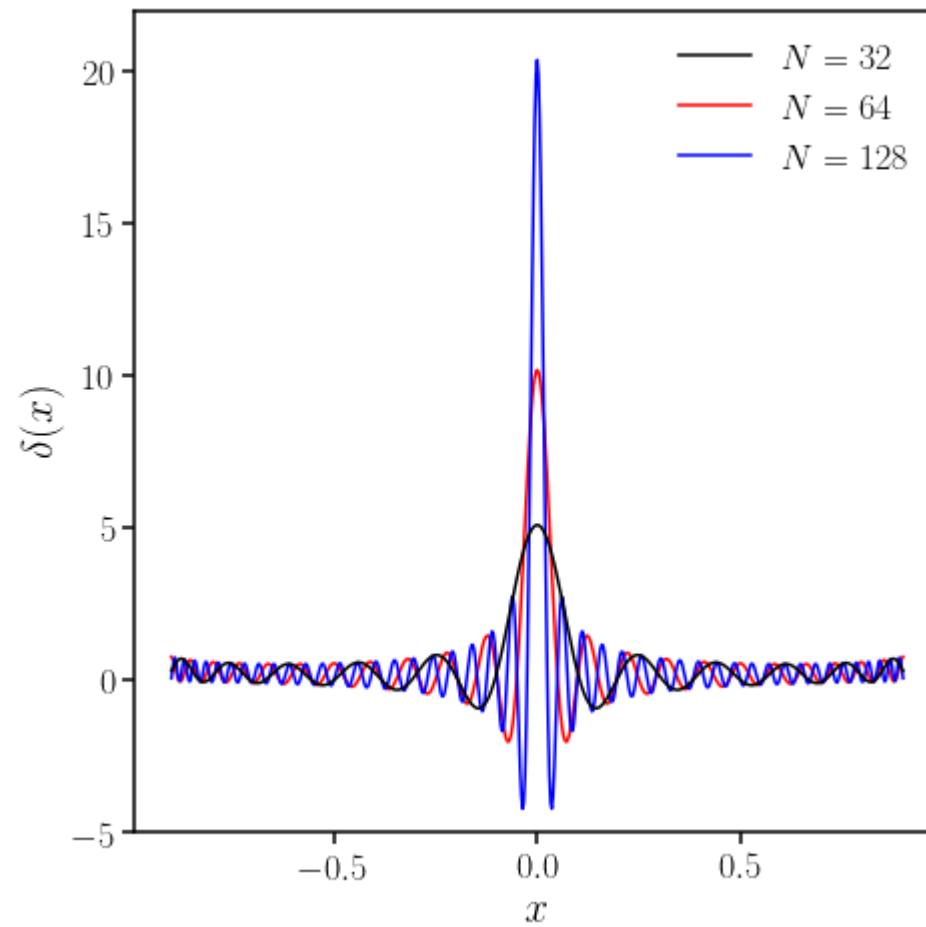
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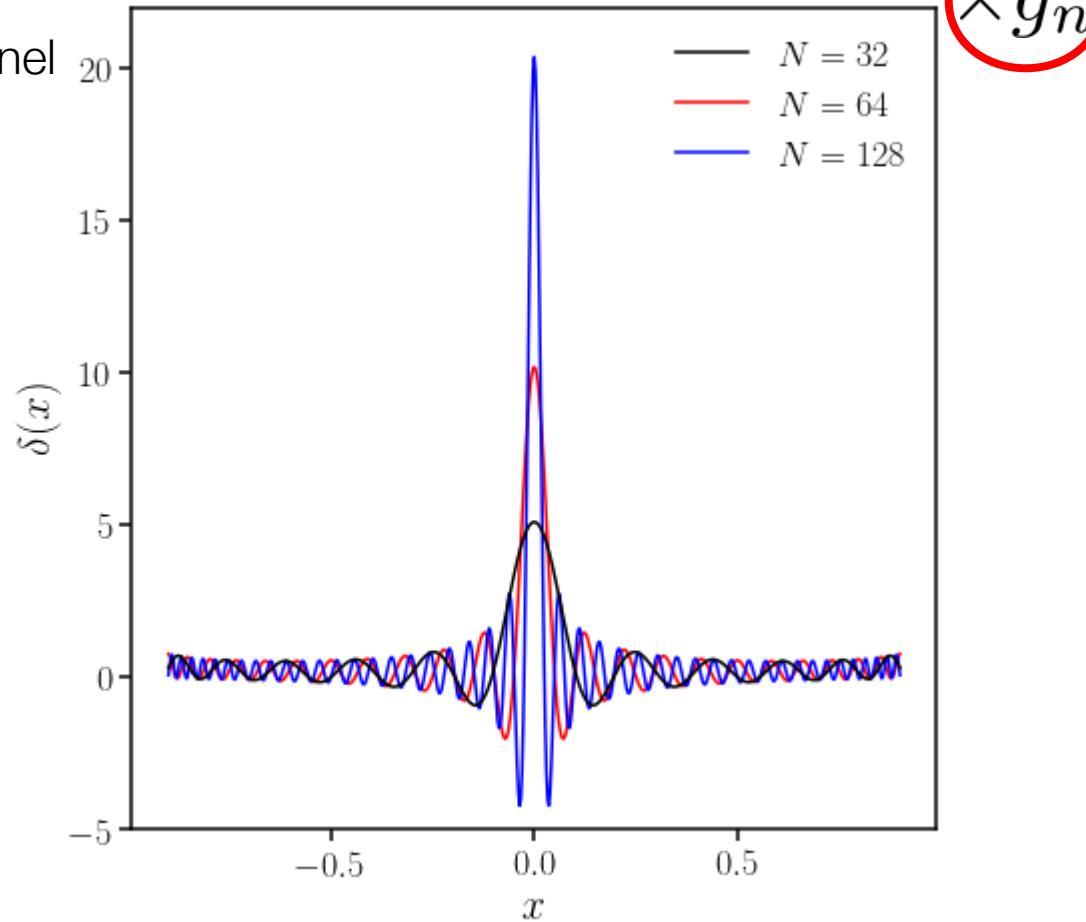
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OBS: Serie finita → Kernel



$\times g_n$

# Expansión en polinomios

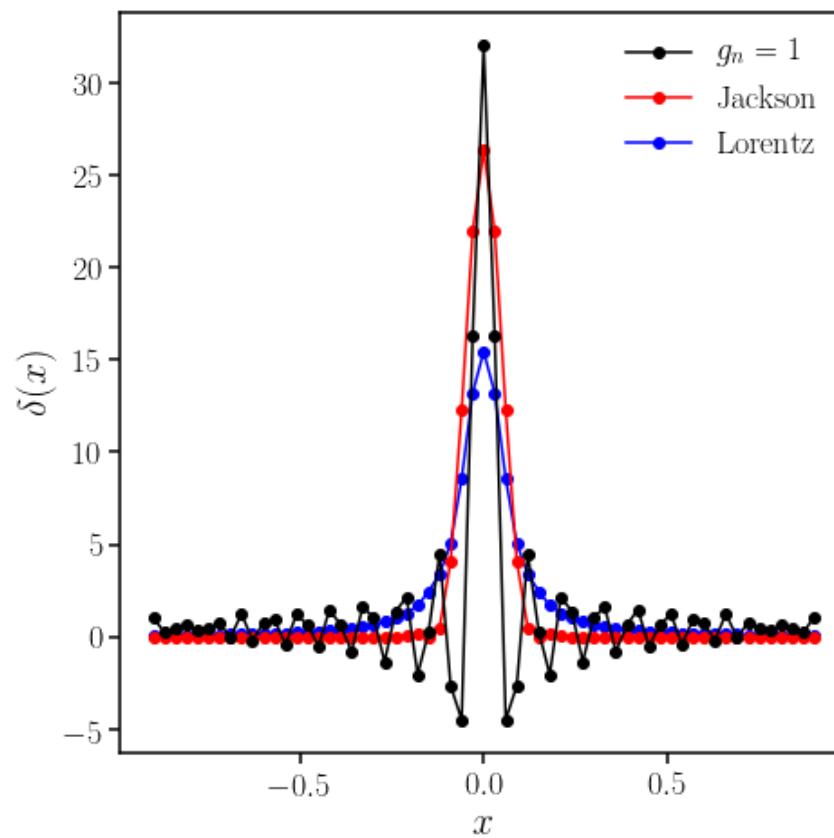
$$f(x_k) = \frac{1}{\pi\sqrt{1-x_k^2}} \left( g_0\mu_0 + 2 \sum_{n=1}^{N-1} g_n\mu_n T_n(x_k) \right). \quad \mu_n = \int_{-1}^1 f(x)T_n(x)dx.$$

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| Name            | $g_n$  | Parameters                     | positive? | Remarks  |
|-----------------|--|--------------------------------|-----------|--|
| Jackson         | $\frac{1}{N+1} \left[ (N-n+1)\cos \frac{\pi n}{N+1} + \sin \frac{\pi n}{N+1} \cot \frac{\pi}{N+1} \right]$ | none                           | yes       | best for most applications   |
| Lorentz         | $\sinh[\lambda(1-n/N)]/\sinh(\lambda)$   | $\lambda \in \mathbb{R}$       | yes       | best for Green functions   |
| Fejér           | $1-n/N$  | none                           | yes       | mainly of academic interest  |
| Lanczos         | $\left( \frac{\sin(\pi n/N)}{\pi n/N} \right)^M$   | $M \in \mathbb{N}$             | no        | $M=3$ closely matches the Jackson kernel, but not strictly positive<br>(Lanczos, 1966) |
| Wang and Zunger | $\exp \left[ - \left( \alpha \frac{n}{N} \right)^\beta \right]$  | $\alpha, \beta \in \mathbb{R}$ | no        | found empirically, not optimal<br>(Wang, 1994;<br>Wang and Zunger, 1994)               |
| Dirichlet       | 1  | none                           | no        | least favorable choice   |

# Expansión en polinomios

$$f(x_k) = \frac{1}{\pi\sqrt{1-x_k^2}} \left( g_0\mu_0 + 2 \sum_{n=1}^{N-1} g_n\mu_n T_n(x_k) \right). \quad \mu_n = \int_{-1}^1 f(x) T_n(x) dx.$$



# Density of States

$$\text{Tr}\{\delta(E - H)\} = \frac{1}{\pi\sqrt{1 - E^2}} \left[ \mu_1^{\text{psi}} + 2 \sum_{n=2}^{\infty} \mu_n^{\text{psi}} T_n(E) \right]$$

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$$\mu_n^{\text{psi}} = \langle \psi_{\text{RP}} | T_n(\mathcal{H}) | \psi_{\text{RP}} \rangle \times g_n$$

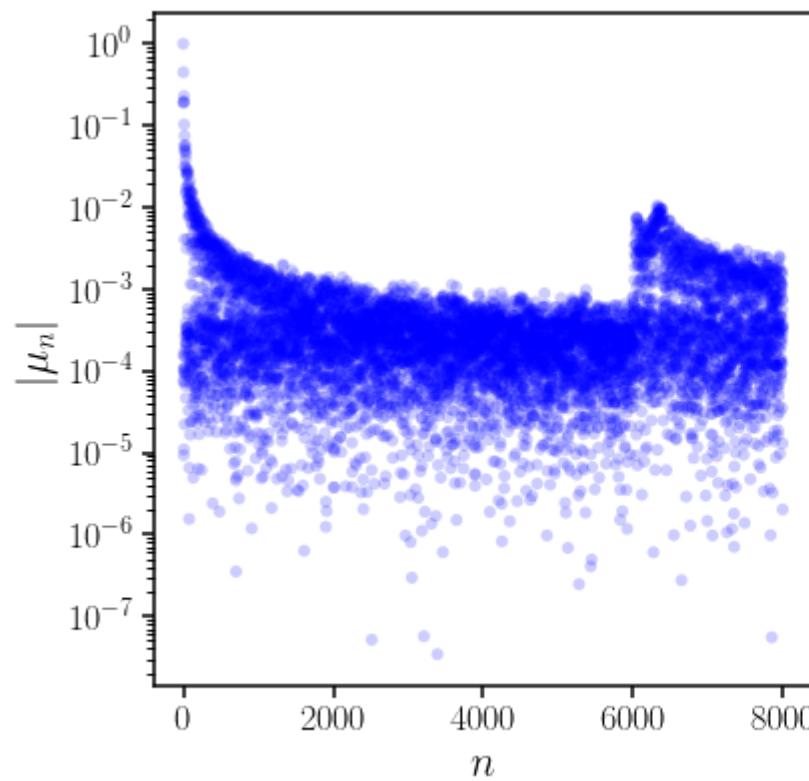
OBS: Stochastic evaluation

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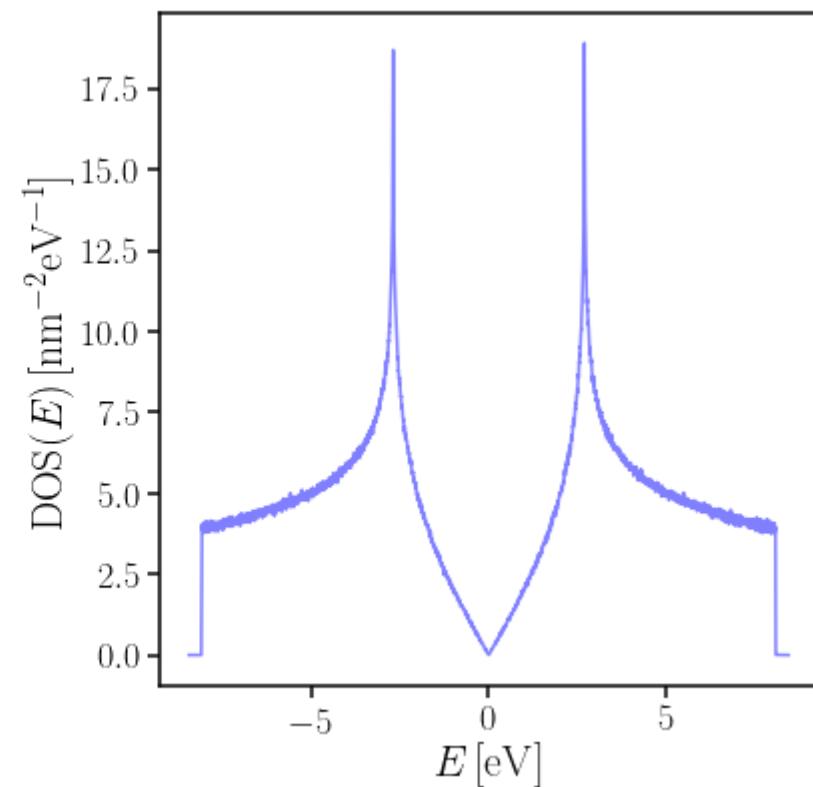
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# Ejemplo notebook - KPM

# Resumen metodología - KPM

1

Red

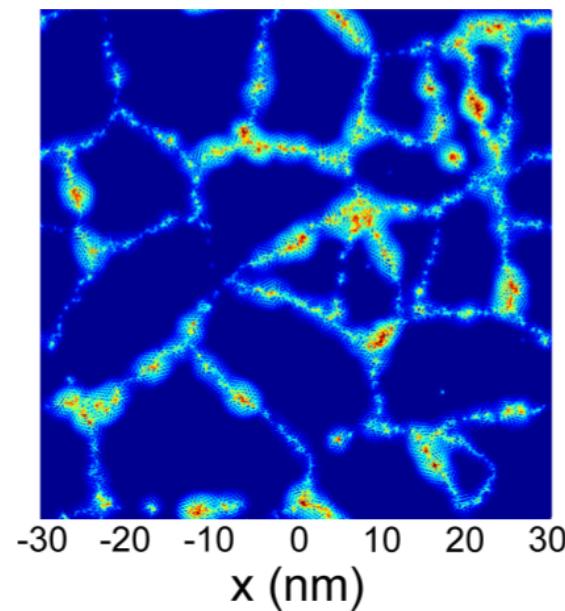
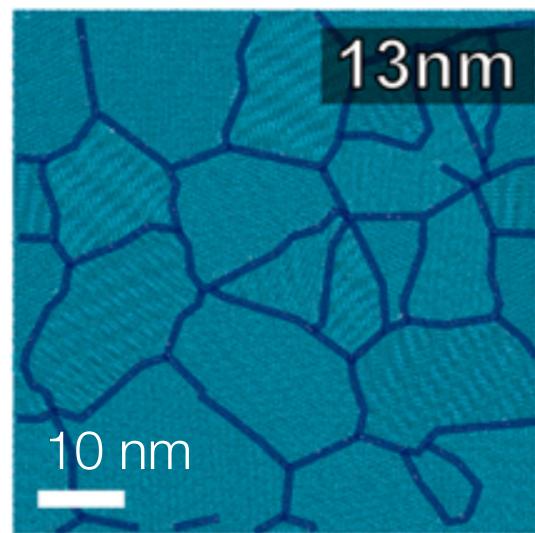
2

Hamiltoniano

3

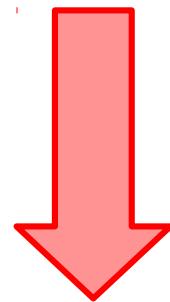
Transporte electrónico

$\text{LDOS}(E = 0)$



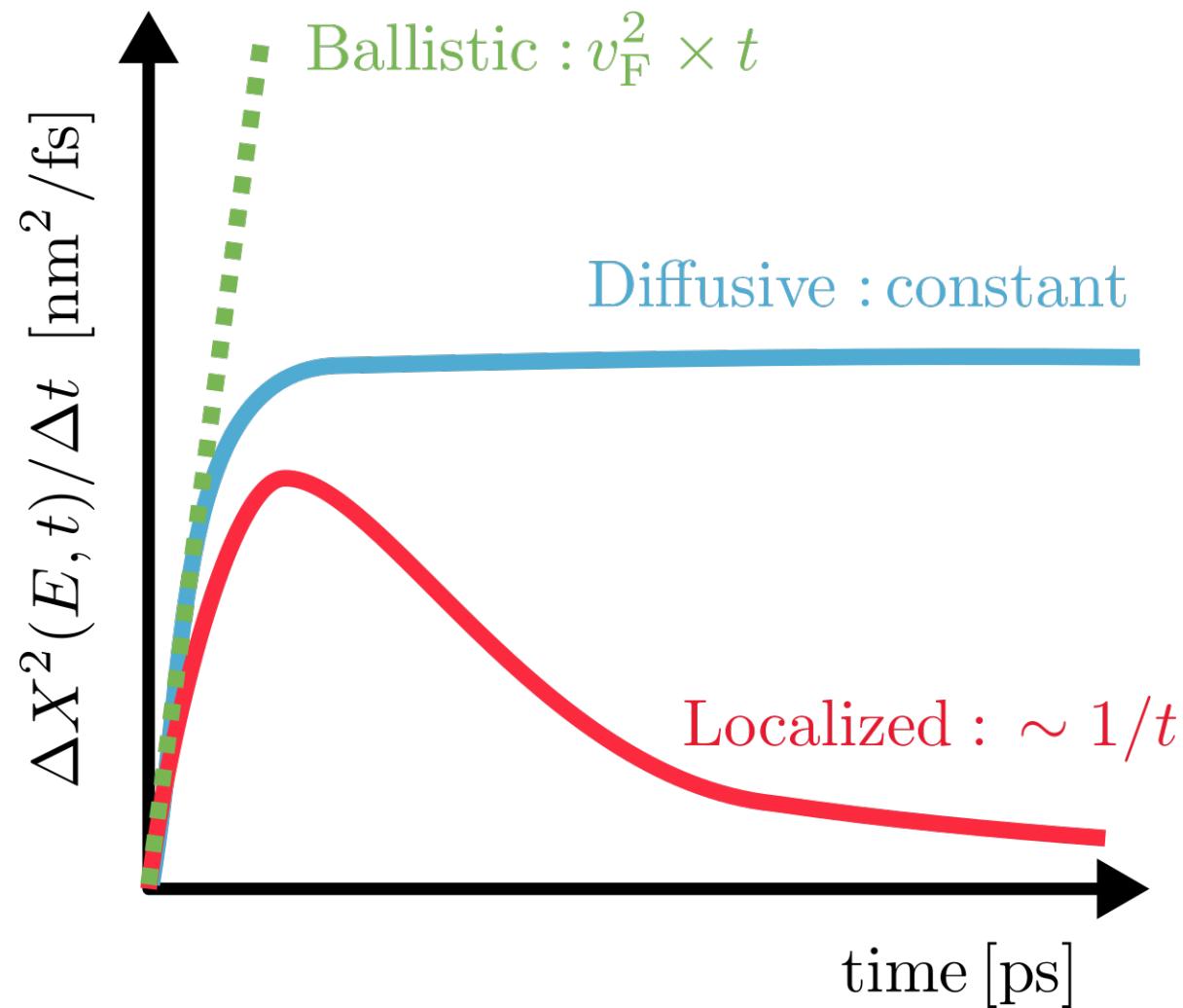
Respuesta lineal – Fórmula de Kubo

$$\sigma \propto \int dt \langle J(t), J(0) \rangle$$



$$\sigma(E) = \frac{e^2}{2} \left[ \text{DOS}(E) \right] \lim_{t \rightarrow \infty} \frac{\partial}{\partial t} \left\langle \Delta X^2(t) \right\rangle_E$$

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1

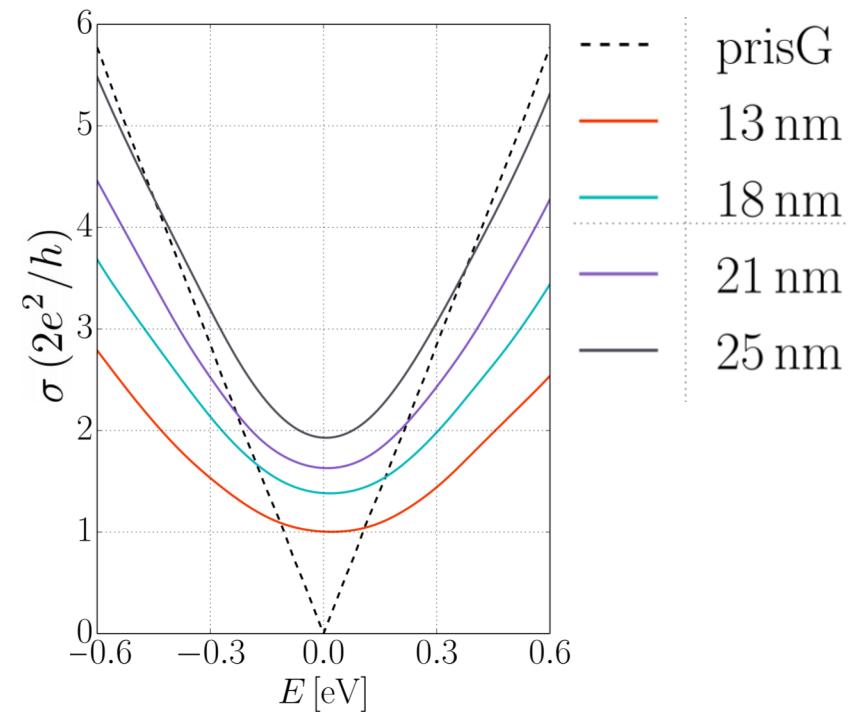
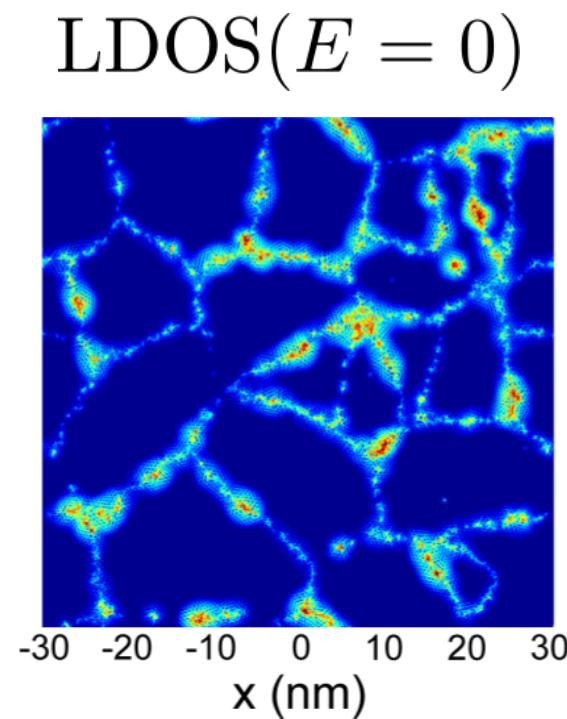
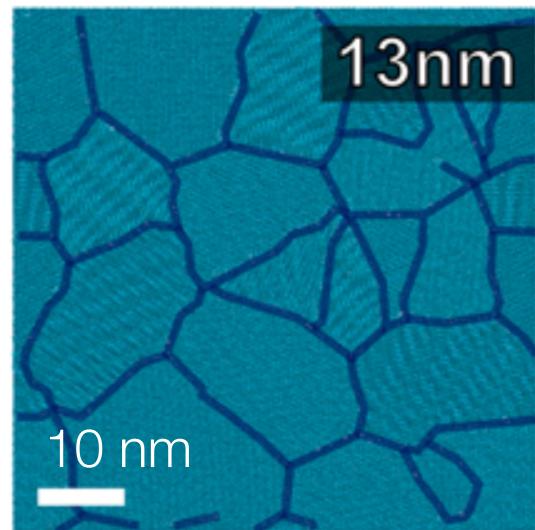
Red

2

Hamiltoniano

3

Transporte electrónico



1

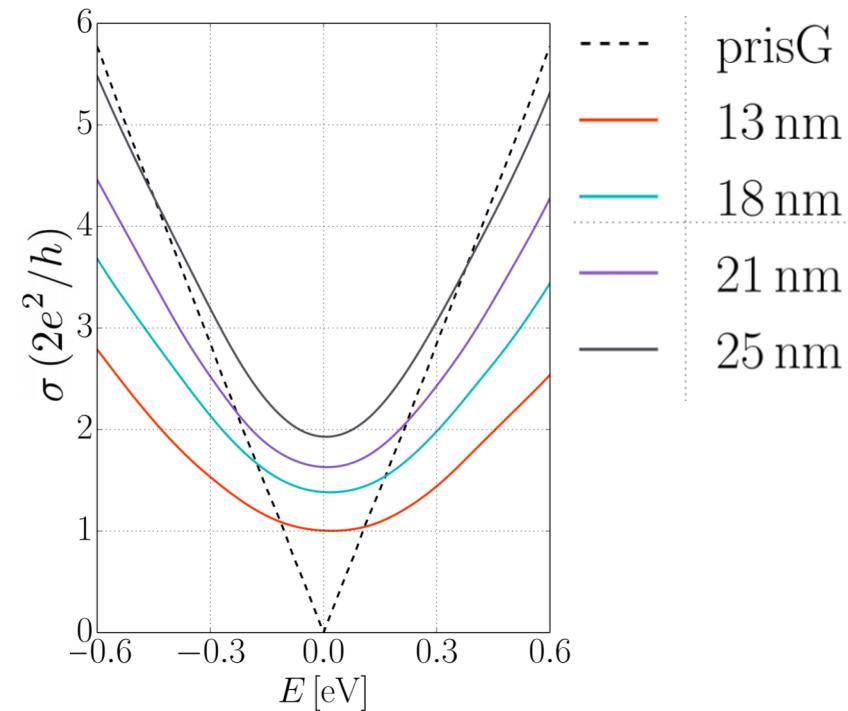
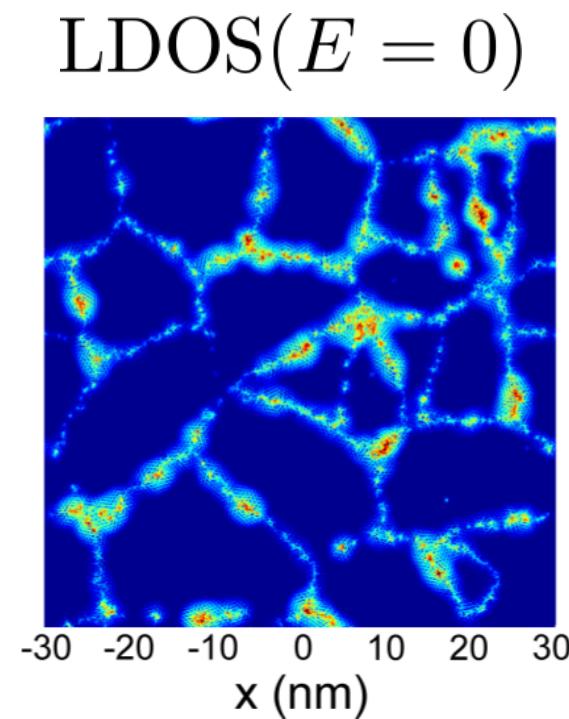
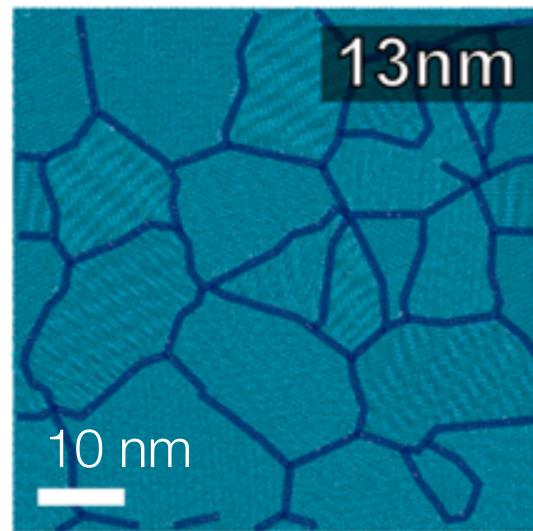
Red

2

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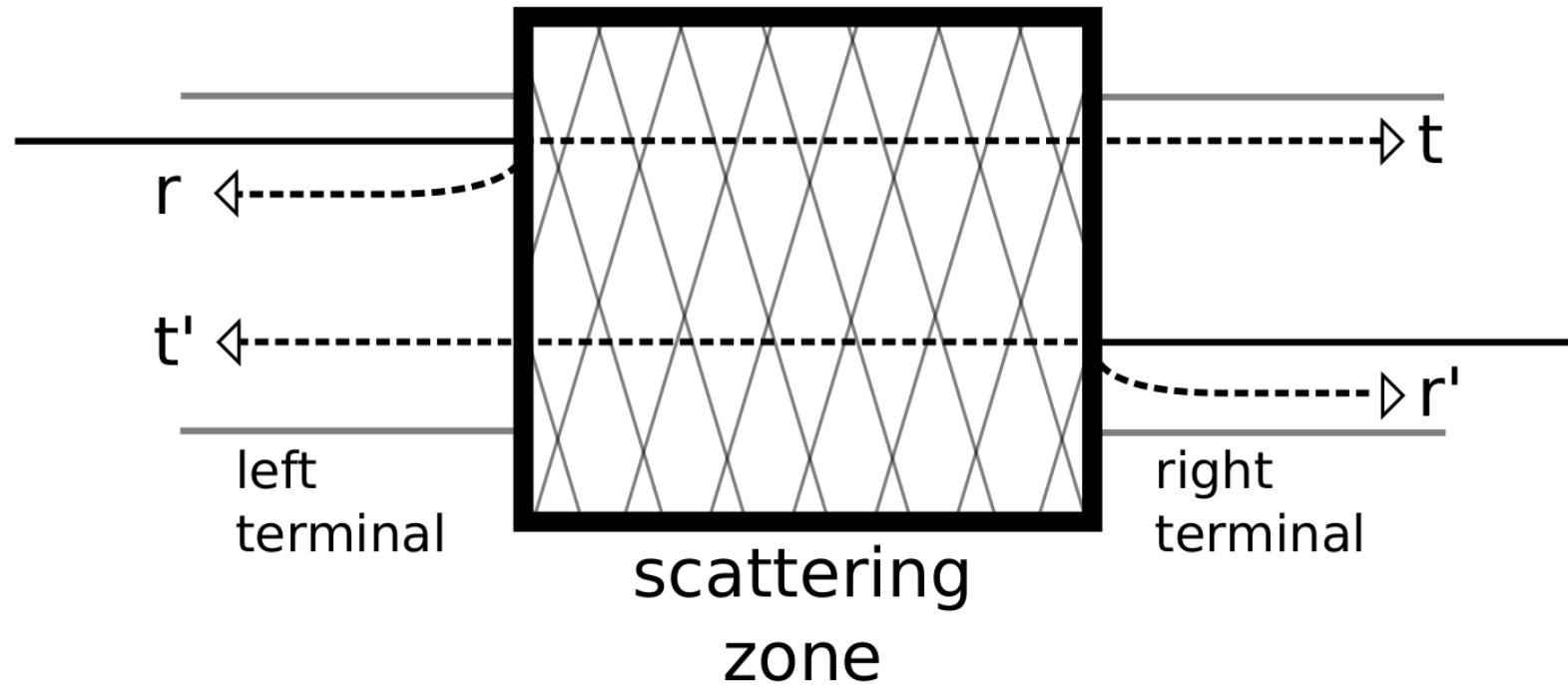
Transporte electrónico



OBS: Implementación numérica de O(N)  
(Kernel polynomial method)

**kwant**

# kwant



$$\begin{pmatrix} c^{\text{oL}} \\ c^{\text{oR}} \end{pmatrix} = S \begin{pmatrix} c^{\text{iL}} \\ c^{\text{iR}} \end{pmatrix}.$$

$$S = \begin{pmatrix} r & t' \\ t & r' \end{pmatrix}$$

El *workflow* típico en Kwant es:

1. Crear un sistema de amarre fuerte “*empty*”.
2. Asignar los elementos de matriz y hoppings.
3. Unir los contactos (sistema de amarre fuerte con simetría translacional).
4. Enviar el sistema finalizado al *solver*.

## Fase de Peierls

$$t_{ij} = -t \exp \left( i \frac{2\pi}{\Phi_0} \int_{\vec{r}_i}^{\vec{r}_j} \vec{A} \cdot d\vec{l} \right)$$

Ribbon zig-zag 100 x 100 (unidades de red)  
100 Teslas

